

# Neural Machine Translation



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# Decoding for Phrase-Based Machine Translation

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## **Search state:**

- The most recent  $n-1$  target words (for  $n$ -gram language model)
- Coverage of source words (to ensure each word translated once)
- Most recent source position translated (for reordering)

## **Path score:**

- Translation, language model, and reordering (distortion) scores
- Optimistic estimate of future translation & LM scores

## **Search strategy:**

- Build target sentence left-to-right (to score language model)
- Each new state added by translating one untranslated phrase
- Extend a partial translation only if it's among the top  $K$  ways to translate  $N$  source words.

(Koehn Slides)

# Neural Sequence-to-Sequence Models

# Conditional Sequence Generation

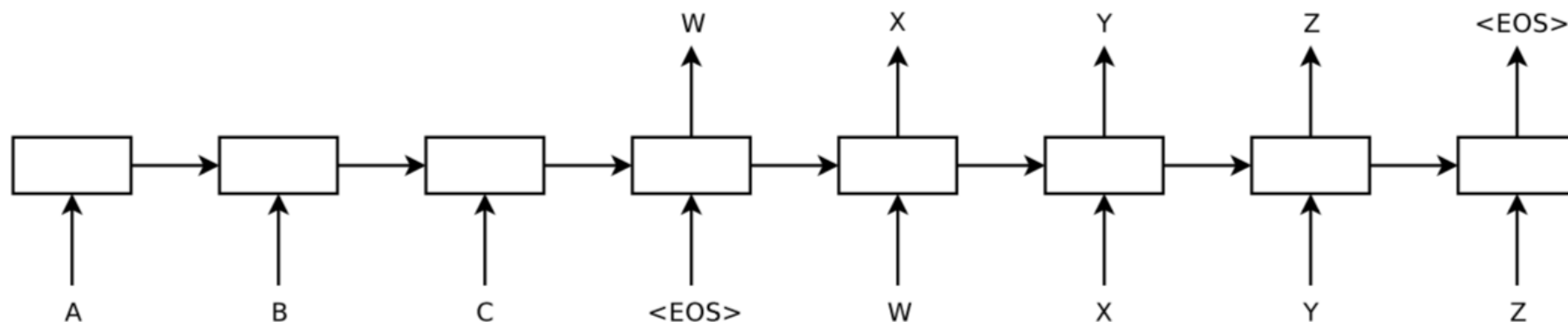
$P(e|f)$  could just be estimated from a sequence model  $P(f, e)$

`<f> das Haus ist klein </f> the house is small </e>`

Run an RNN over the whole sequence, which first computes  $P(f)$ , then computes  $P(e, f)$ .

Encoder-Decoder: Use different parameters or architectures encoding  $f$  and predicting  $e$ .

"Sequence to sequence" learning (Sutskever et al., 2014)



(Sutskever et al., 2014) Sequence to sequence learning with neural networks.

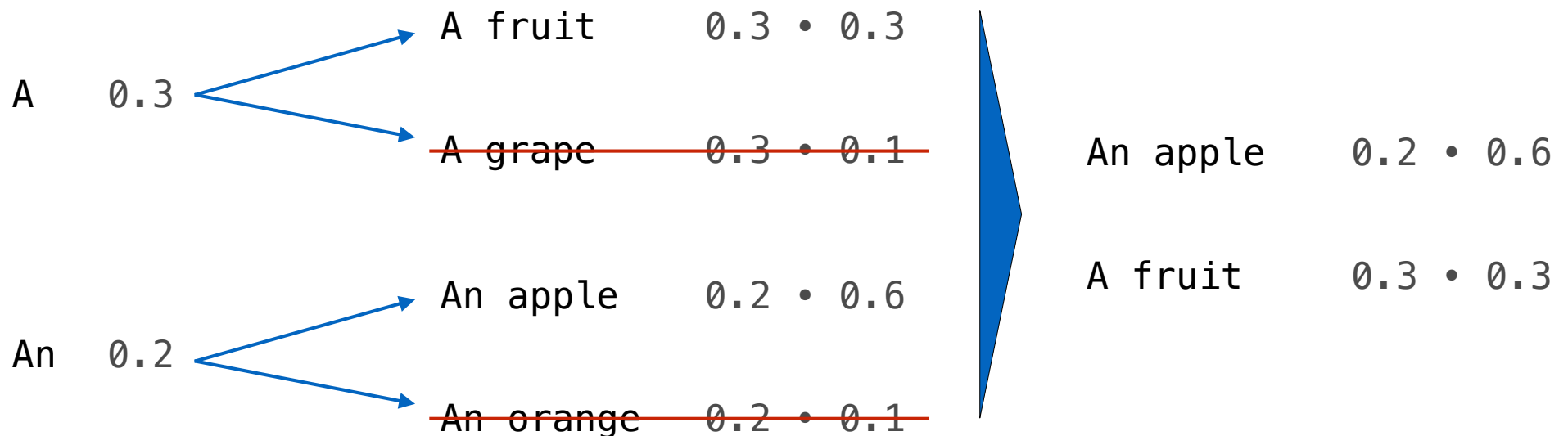
# Neural Decoding

# Search Strategies for Neural Machine Translation

For each target position, each word in the vocabulary is scored. (Alternatively, a restricted list of vocabulary items can be selected based on the source sentence, but quality can degrade.)

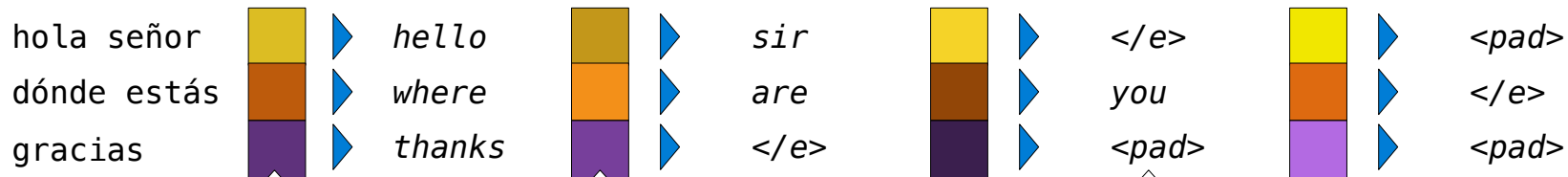
Greedy decoding: Extend a single hypothesis (partial translation) with the next word that has highest probability.

Beam search: Extend multiple hypotheses, then prune.



# Implementing Beam Search for Batch Decoding

## Greedy search:

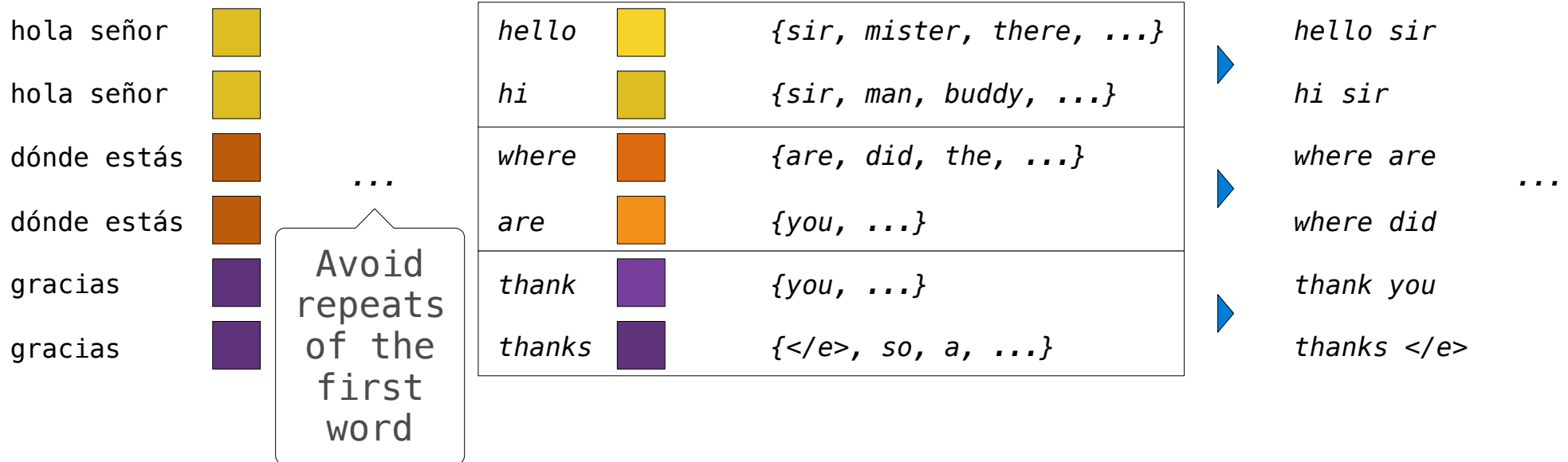


Encoder activation (e.g., a vector)

Decoder activation (e.g., a vector)

Track which translations are finished

## Beam search (beam width of 2):



# Beam Search Criteria to Compensate for Bad Models

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NMT models often prefer translations that are too short.

$$s(e) = \sum_{i=1}^m \log P(e_i | e_{1:i}, f)$$

"For more than 50% of the sentences, the model in fact assigns its global best score to the empty translation"  
(Stahlberg & Byrne, 2019)

Alternatives for scoring items on the beam:

Length normalization:  $s(e)/m$

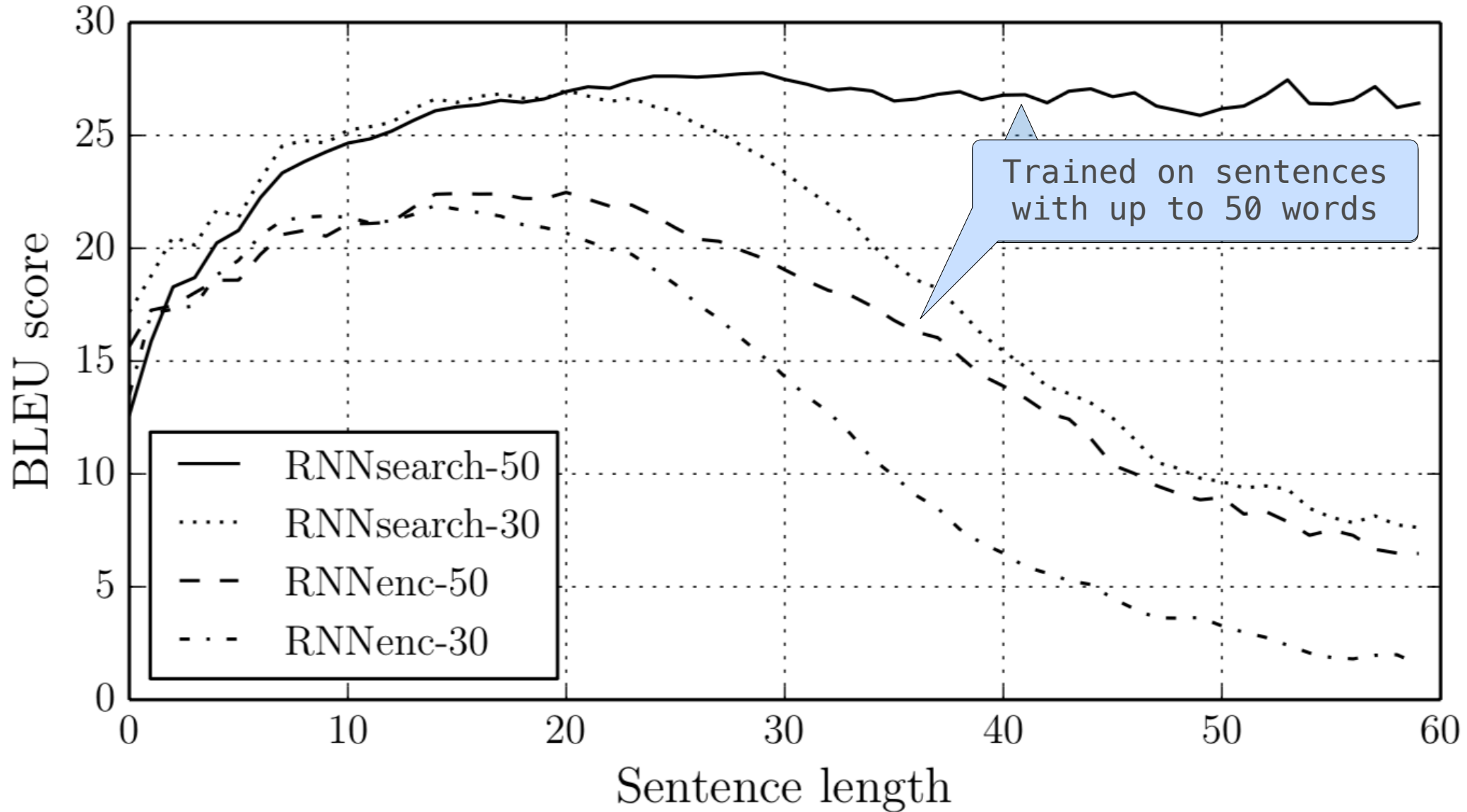
Google's correction (2016):  $\frac{s(e)}{\frac{(5+m)^\alpha}{(5+1)^\alpha}}$

Word reward:  $s(e) + \gamma m$



Attention

# Impact of Attention on Long Sequence Generation



# Conditional Gated Recurrent Unit with Attention

$$\mathbf{s}_j = \text{cGRU}_{\text{att}}(\mathbf{s}_{j-1}, y_{j-1}, \mathbf{C})$$

$$\mathbf{s}'_j = (1 - \mathbf{z}'_j) \odot \underline{\mathbf{s}}'_j + \mathbf{z}'_j \odot \mathbf{s}_{j-1}$$

$$\underline{\mathbf{s}}'_j = \tanh(\mathbf{W}'\mathbf{E}[y_{j-1}] + \mathbf{r}'_j \odot (\mathbf{U}'\mathbf{s}_{j-1})),$$

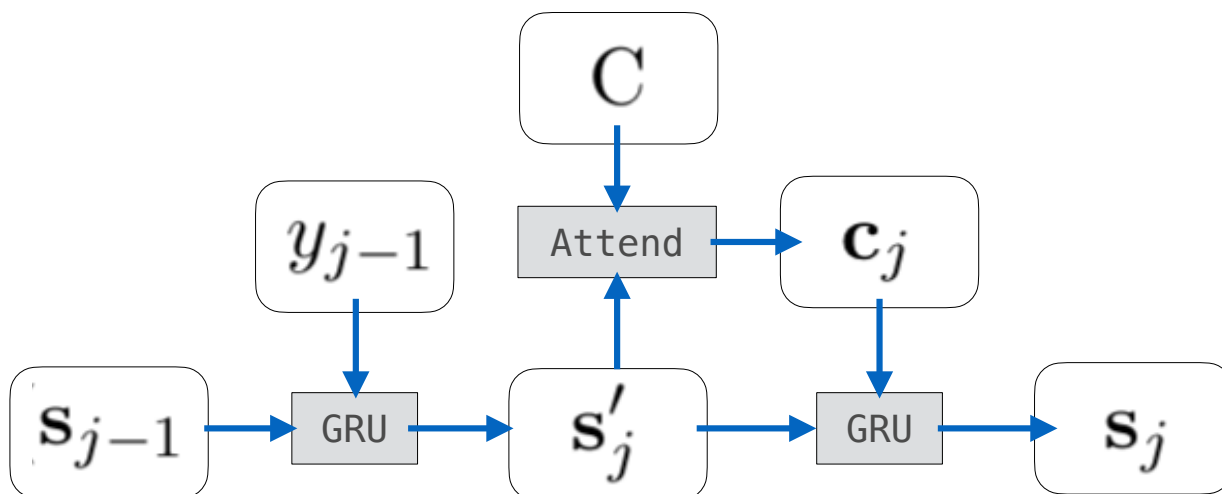
$$\mathbf{r}'_j = \sigma(\mathbf{W}'_r\mathbf{E}[y_{j-1}] + \mathbf{U}'_r\mathbf{s}_{j-1}),$$

$$\mathbf{z}'_j = \sigma(\mathbf{W}'_z\mathbf{E}[y_{j-1}] + \mathbf{U}'_z\mathbf{s}_{j-1}),$$

Architecture for the top research system in WMT16 and WMT17 (Univ. Edinburgh)

Reset gate masks the previous state's projection within the nonlinear forward step

Update gate mixes the output of the forward step with the previous state



# Conditional Gated Recurrent Unit with Attention

$$\mathbf{s}_j = \text{cGRU}_{\text{att}}(\mathbf{s}_{j-1}, y_{j-1}, \mathbf{C})$$

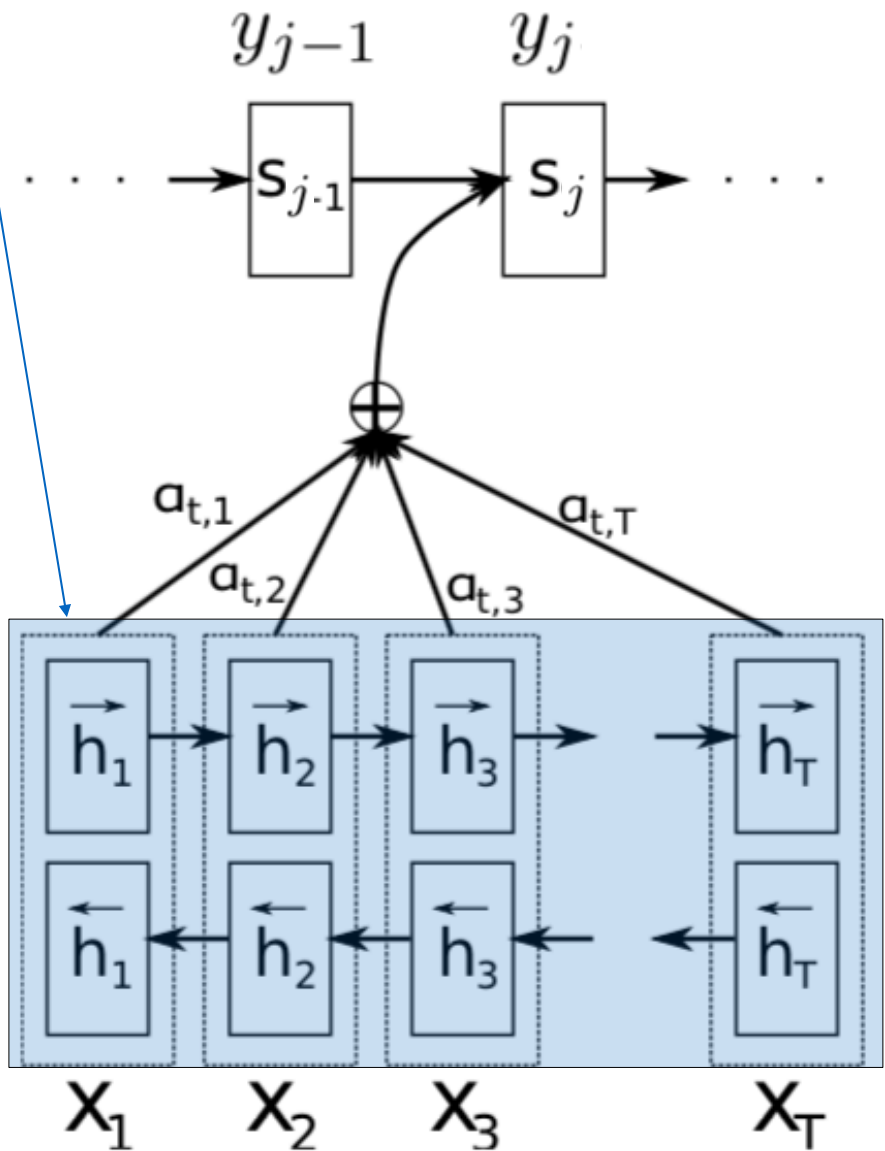
$$\mathbf{s}'_j = (1 - \mathbf{z}'_j) \odot \mathbf{s}'_j + \mathbf{z}'_j \odot \mathbf{s}_{j-1}$$

$$\mathbf{c}_j = \text{ATT}(\mathbf{C}, \mathbf{s}'_j) = \sum_i \alpha_{ij} \mathbf{h}_i$$

$$\alpha_{ij} = \frac{\exp(e_{ij})}{\sum_{k=1}^{T_x} \exp(e_{kj})}$$

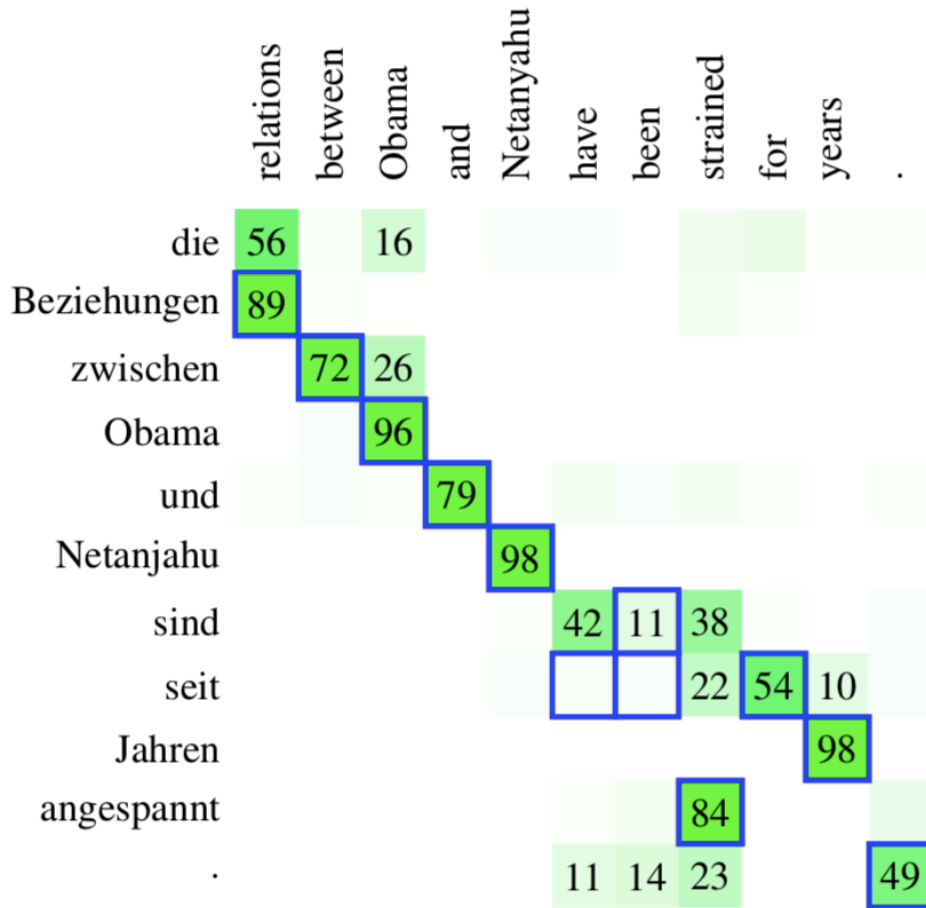
$$e_{ij} = \mathbf{v}_a^T \tanh(\mathbf{U}_a \mathbf{s}'_j + \mathbf{W}_a \mathbf{h}_i)$$

$$\mathbf{s}_j = (1 - \mathbf{z}_j) \odot \mathbf{s}_j + \mathbf{z}_j \odot \mathbf{s}'_j$$

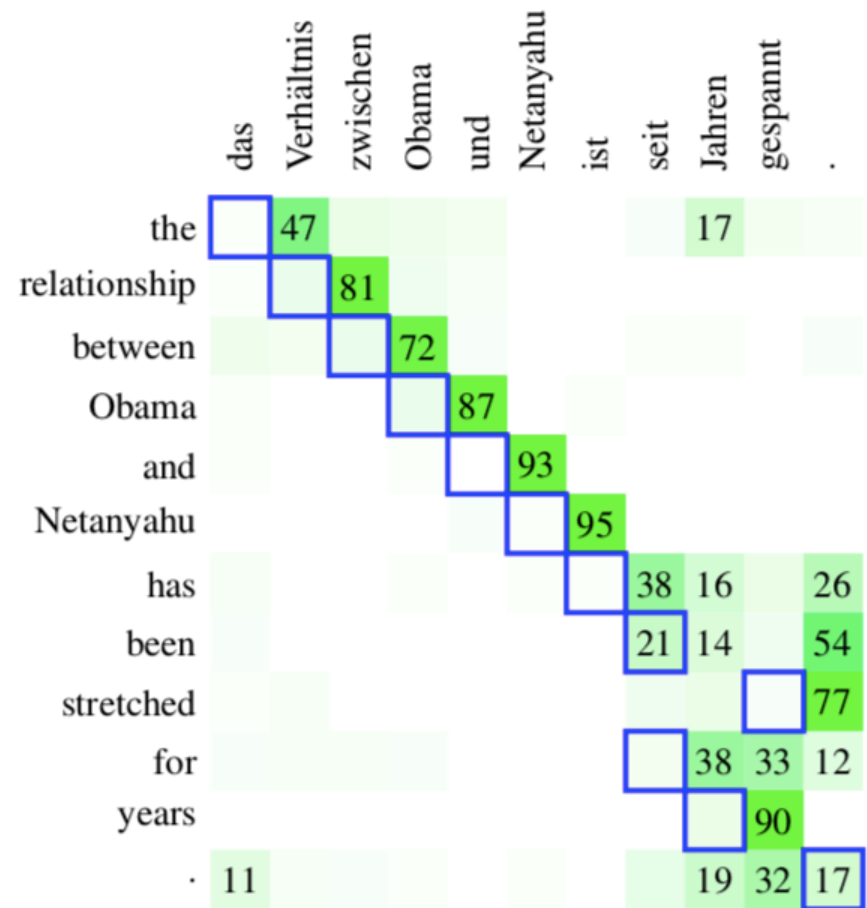


# Attention Activations

Attention activations above 0.1



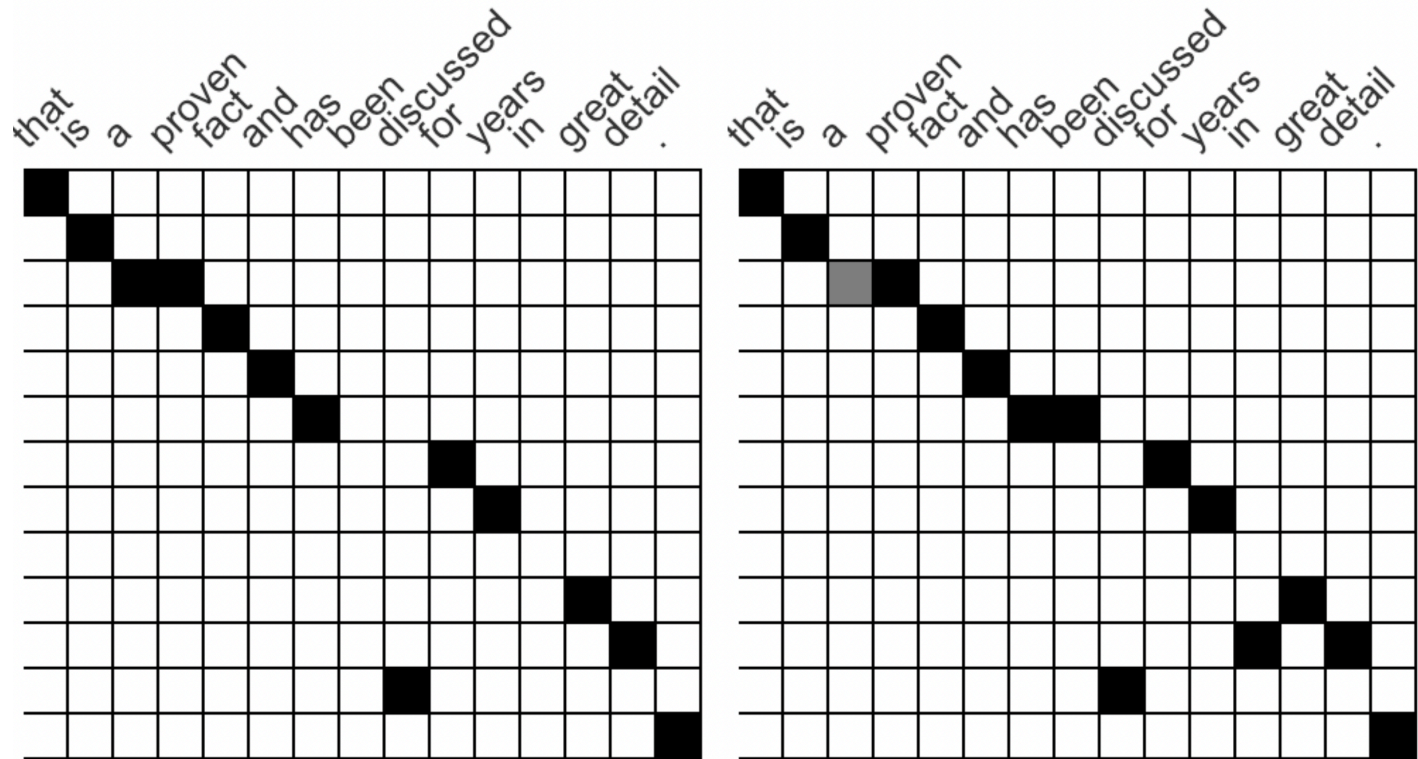
English-German



German-English

# Better Alignments from Attention Activations

- Ideas:
- (1) Find attention activations that would have led to correct word choice.
  - (2) Choose target words conditioned only on source context.
  - (3) Find attention activations that are good for both e→f and f→e.



(b) Bidir. Optimization

(c) Gold Alignments

# Transformer Architecture

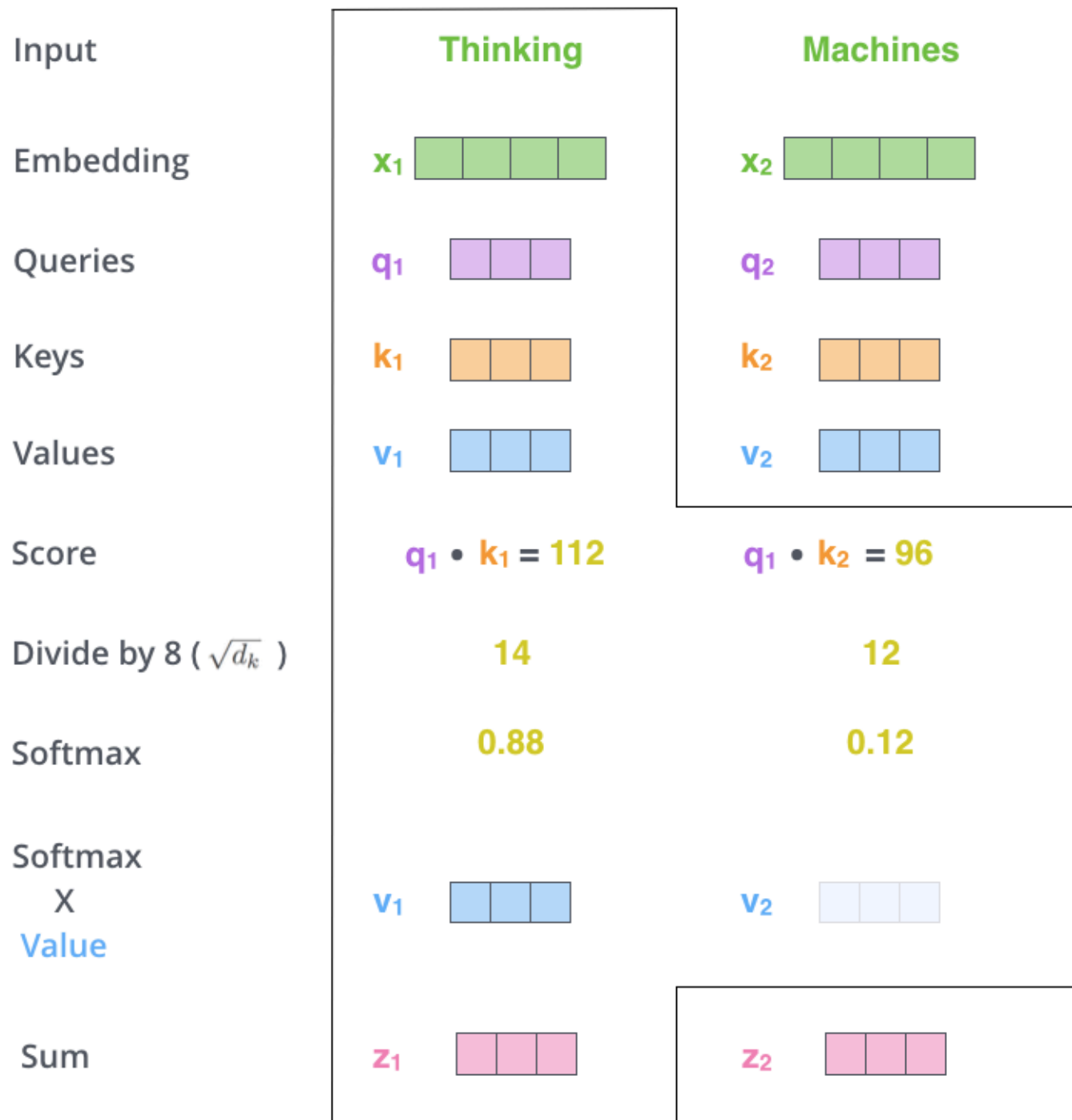
# Transformer

In lieu of an RNN, use attention.

High throughput & expressivity: compute queries, keys and values as (different) linear transformations of the input.

Attention weights are queries • keys; outputs are sums of weighted values.

$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$



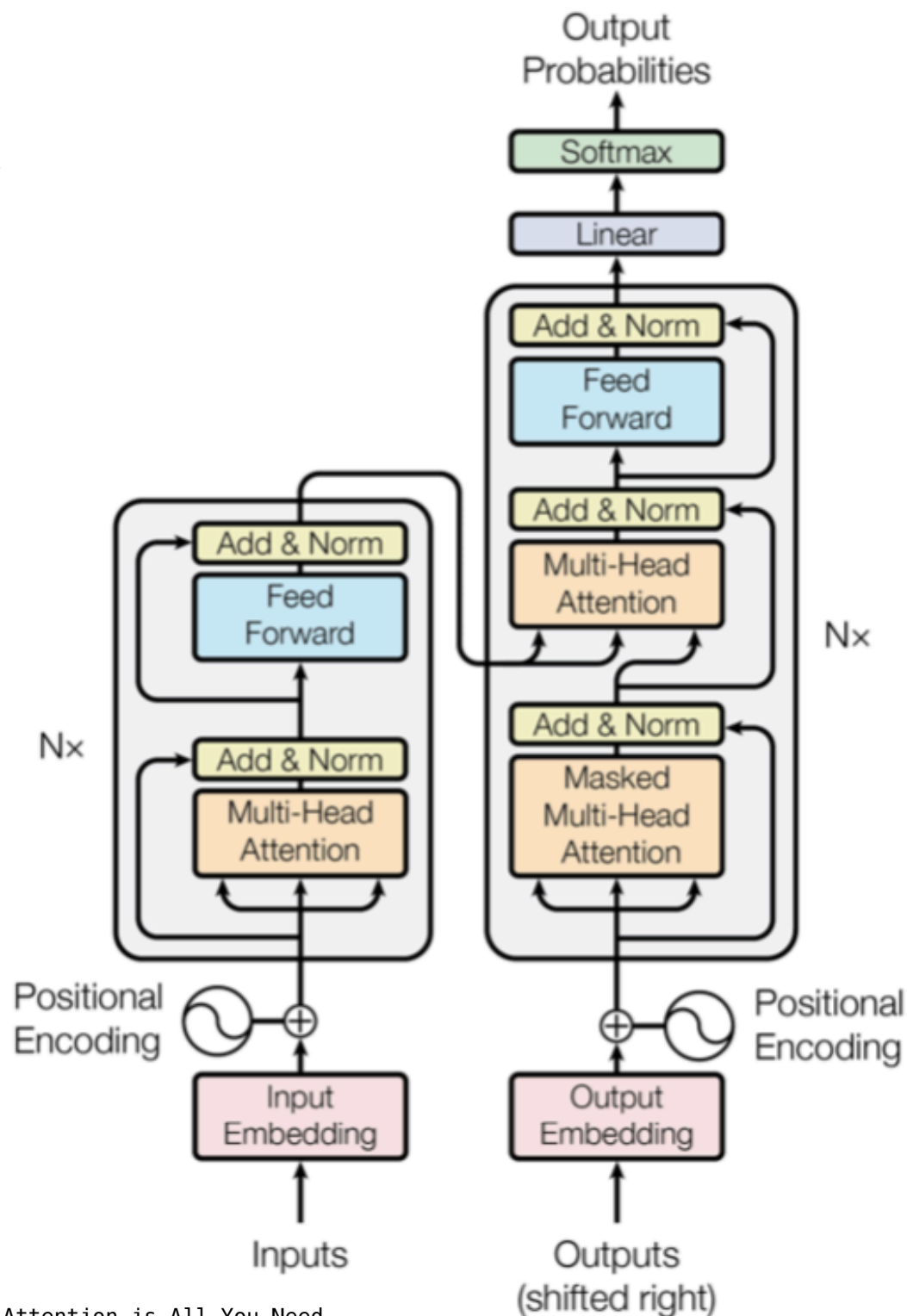
(Vaswani et al., 2017) Attention is All You Need  
 Figure: <http://jalamar.github.io/illustrated-transformer/>



# Transformer Architecture

- Layer normalization ("Add & Norm" cells) helps with RNN+attention architectures as well.
- Positional encodings can be learned or based on a formula that makes it easy to represent distance.

|                                 | EN-DE       |
|---------------------------------|-------------|
| ByteNet [18]                    | 23.75       |
| Deep-Att + PosUnk [39]          |             |
| GNMT + RL [38]                  | 24.6        |
| ConvS2S [9]                     | 25.16       |
| MoE [32]                        | 26.03       |
| Deep-Att + PosUnk Ensemble [39] |             |
| GNMT + RL Ensemble [38]         | 26.30       |
| ConvS2S Ensemble [9]            | 26.36       |
| Transformer (base model)        | 27.3        |
| Transformer (big)               | <b>28.4</b> |



## Some Transformer Concerns

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**Problem:** Bag-of-words representation of the input.

**Remedy:** Position embeddings are added to the word embeddings.

**Problem:** During generation, can't attend to future words.

**Remedy:** Masked training that zeroes attention to future words.

**Problem:** Deep networks needed to integrated lots of context.

**Remedies:** Residual connections and multi-head attention.

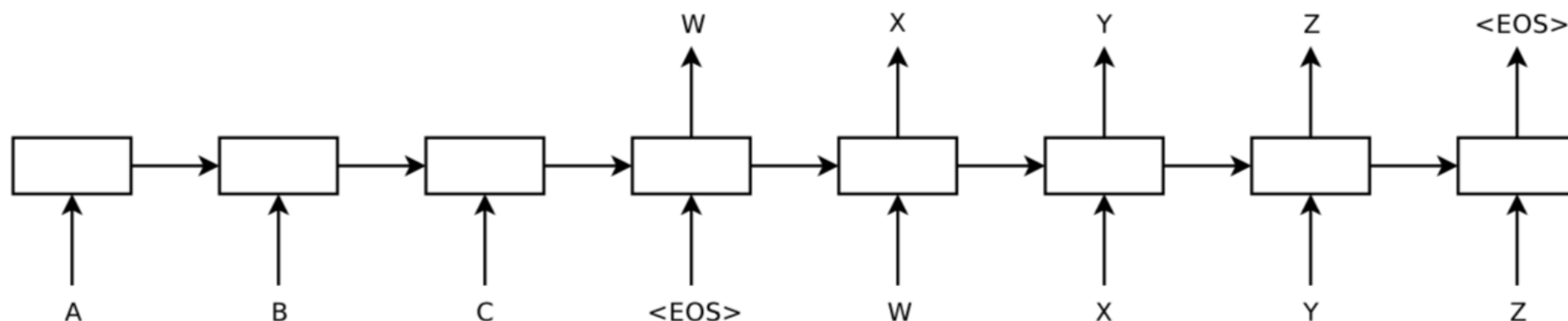
**Problem:** Optimization is hard.

**Remedies:** Large mini-batch sizes and layer normalization.

## Training Loss Function

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Teacher forcing: During training, only use the predictions of the model for the loss, not the input.



Label smoothing: Update toward a distribution in which

- 0.9 probability is assigned to the observed word, and
- 0.1 probability is divided uniformly among all other words.

Sequence-level loss has been explored, but (so far) abandoned.

Training Data

# Subwords

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The sequence of symbols that are embedded should be common enough that an embedding can be estimated robustly for each, and all symbols have been observed during training.

**Solution 1:** Symbols are words with rare words replaced by UNK.

- Replacing UNK in the output is a new problem (like alignment).
- UNK in the input loses all information that might have been relevant from the rare input word (e.g., tense, length, POS).

**Solution 2:** Symbols are subwords.

- Byte-Pair Encoding is the most common approach.
- Other techniques that find common subwords aren't reliably much better (but are somewhat more complicated).
- Training on many sampled subword decompositions can improve out-of-domain translations.

## BPE Example

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| system                     | sentence                           |
|----------------------------|------------------------------------|
| source                     | health research institutes         |
| reference                  | Gesundheitsforschungsinstitute     |
| word-level (with back-off) | Forschungsinstitute                |
| character bigrams          | Fo rs ch un gs in st it ut io ne n |
| BPE                        | Gesundheits forsch ungsin stitute  |

Example from Rico Sennrich

**Initialize:** Split each word into symbols that are individual characters

**Repeat:** Convert the most frequent symbol bigram into a new symbol

```
vocab = {'l o w </w>' : 5,  
        'l o w e r </w>' : 2,  
        'n e w e s t </w>' : 6,  
        'w i d e s t </w>' : 3}
```

```
('e', 's') appears 9 times and is now 'es'  
('es', 't') appears 9 times and is now 'est'  
('est', '</w>') appears 9 times and is now 'est</w>'  
('l', 'o') appears 7 times and is now 'lo'  
('lo', 'w') appears 7 times and is now 'low'  
('n', 'e') appears 6 times and is now 'ne'  
('ne', 'w') appears 6 times and is now 'new'  
('new', 'est</w>') appears 6 times and is now 'newest</w>'  
('low', '</w>') appears 5 times and is now 'low</w>'  
('w', 'i') appears 3 times and is now 'wi'
```

```
{'low</w>' : 5, 'low e r </w>' : 2, 'newest</w>' : 6, 'w i d e s t </w>' : 3}
```

## Back Translations

Synthesize an *en-de* parallel corpus by using a *de-en* system to translate monolingual *de* sentences.

- Better generating systems don't seem to matter much.
- Can help even if the *de* sentences are already in an existing *en-de* parallel corpus!

| system         | EN→DE       |             | DE→EN       |             |
|----------------|-------------|-------------|-------------|-------------|
|                | dev         | test        | dev         | test        |
| baseline       | 22.4        | 26.8        | 26.4        | 28.5        |
| +synthetic     | 25.8        | 31.6        | 29.9        | 36.2        |
| +ensemble      | 27.5        | 33.1        | 31.5        | 37.5        |
| +r2l reranking | <b>28.1</b> | <b>34.2</b> | <b>32.1</b> | <b>38.6</b> |

Table 2: English↔German translation results (BLEU) on dev (newstest2015) and test (newstest2016). Submitted system in bold.



# Multilingual Neural Machine Translations

**Bilingual Baselines →**

Translation quality improvement of a single massively multilingual model as we increase the capacity (number of parameters) compared to 103 individual bilingual baselines.

<https://ai.googleblog.com/2019/10/exploring-massively-multilingual.html>

# First Large-Scale Massively Multilingual Experiment

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Trained on Google-internal corpora for 103 languages.

1M or fewer sentence pairs per language; 95M examples total.

Evaluated on "10 languages from different typological families: Semitic – Arabic (Ar), Hebrew (He), Romance – Galician (Gl), Italian (It), Romanian (Ro), Germanic – German (De), Dutch (Nl), Slavic – Belarusian (Be), Slovak (Sk) and Turkic – Azerbaijani (Az) and Turkish (Tr)."

Model architecture: Sequence-to-sequence Transformer with a target-language indicator token prepended to each source sentence to enable multiple output languages.

- 6 layer encoder & decoder; 1024/8192 layer sizes; 16 heads
- 473 million trainable model parameters
- 64k subwords shared across 103 languages

Baseline: Same model architecture trained on bilingual examples.

# First Large-Scale Massively Multilingual Experiment

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|              | Ar           | Az           | Be           | De           | He           | It           | Nl           | Ro           | Sk           | Tr           | Avg.         |
|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
| baselines    | 23.34        | 16.3         | 21.93        | 30.18        | 31.83        | <b>36.47</b> | 36.12        | 34.59        | 25.39        | 27.13        | 28.33        |
| many-to-one  | <b>26.04</b> | <b>23.68</b> | <b>25.36</b> | 35.05        | <b>33.61</b> | 35.69        | <b>36.28</b> | 36.33        | 28.35        | <b>29.75</b> | <b>31.01</b> |
| many-to-many | 22.17        | 21.45        | 23.03        | <b>37.06</b> | 30.71        | 35.0         | 36.18        | <b>36.57</b> | <b>29.87</b> | 27.64        | 29.97        |

Table 5: X→En test BLEU on the 103-language corpus

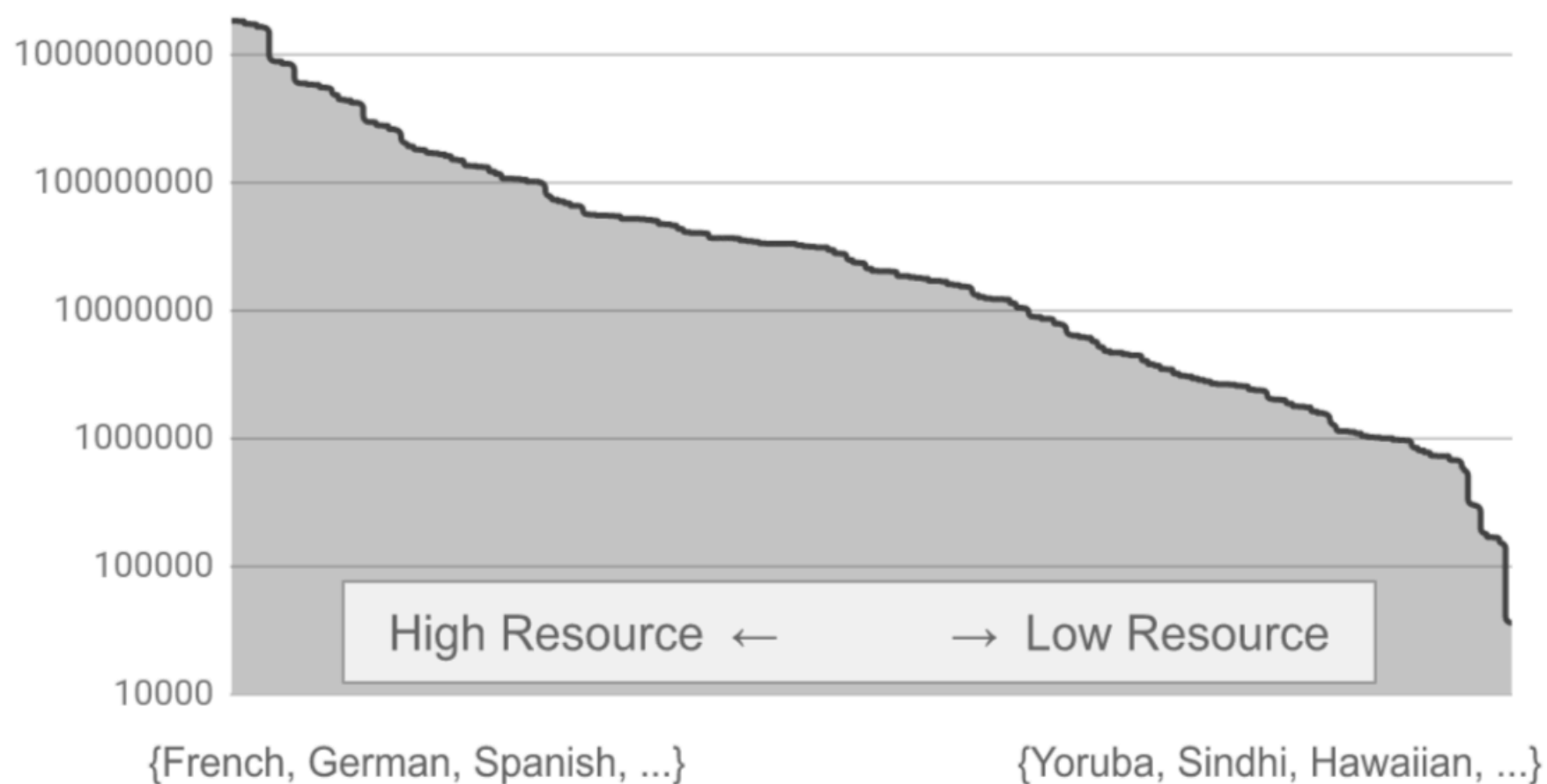
|              | Ar           | Az          | Be          | De           | He           | It        | Nl           | Ro           | Sk           | Tr           | Avg.         |
|--------------|--------------|-------------|-------------|--------------|--------------|-----------|--------------|--------------|--------------|--------------|--------------|
| baselines    | 10.57        | 8.07        | 15.3        | 23.24        | 19.47        | 31.42     | 28.68        | 27.92        | 11.08        | 15.54        | 19.13        |
| one-to-many  | <b>12.08</b> | <b>9.92</b> | <b>15.6</b> | <b>31.39</b> | <b>20.01</b> | <b>33</b> | <b>31.06</b> | <b>28.43</b> | <b>17.67</b> | <b>17.68</b> | <b>21.68</b> |
| many-to-many | 10.57        | 9.84        | 14.3        | 28.48        | 17.91        | 30.39     | 29.67        | 26.23        | 18.15        | 15.58        | 20.11        |

Table 6: En→X test BLEU on the 103-language corpus

# Full-Scale Massively Multilingual Experiment

25 billion parallel sentences in 103 languages.

Data distribution over language pairs



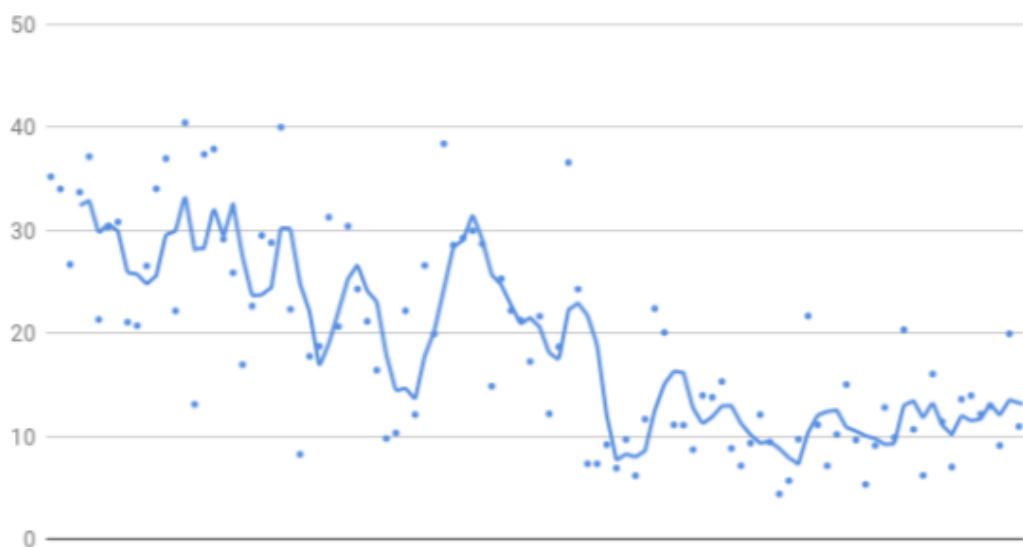
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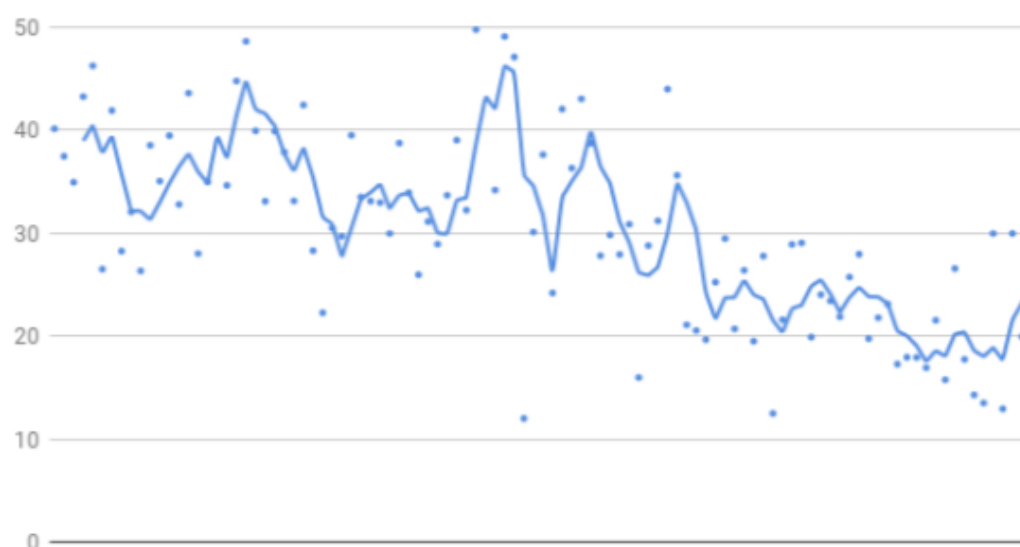
Baselines: Bilingual Transformer Big w/ 32k Vocab (~375M params) for most languages; Transformer Base for low-resource languages.

Evaluation: Constructed multi-way dataset of 3k–5k translated English sentences.

Bilingual En→Any translation performance vs dataset size



Bilingual Any→En translation performance vs dataset size



"Performance on individual language pairs is reported using dots and a trailing average is used to show the trend."

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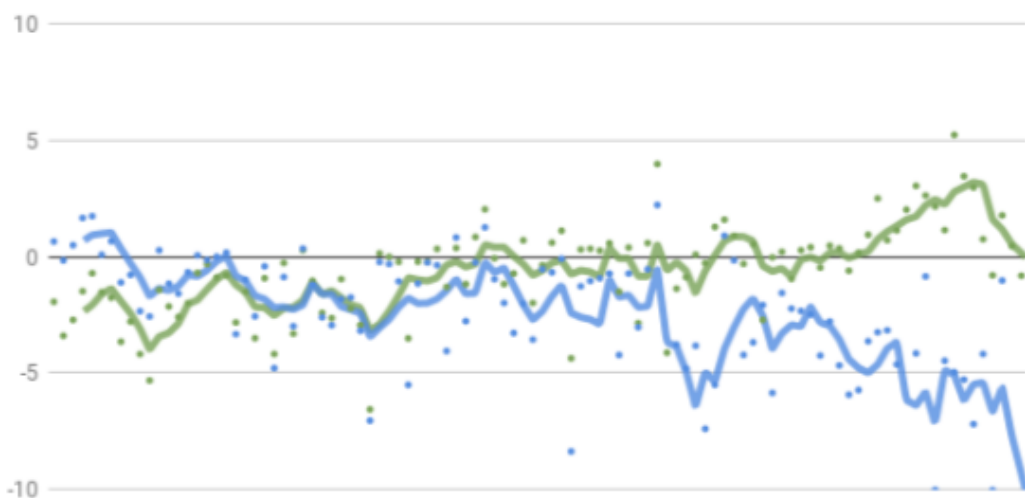
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Multilingual system: Transformer Big w/ 64k Vocab trained 2 ways:

- "All the available training data is combined as it is."
- "We over-sample (up-sample) low-resource languages so that they appear with equal probability in the combined dataset."

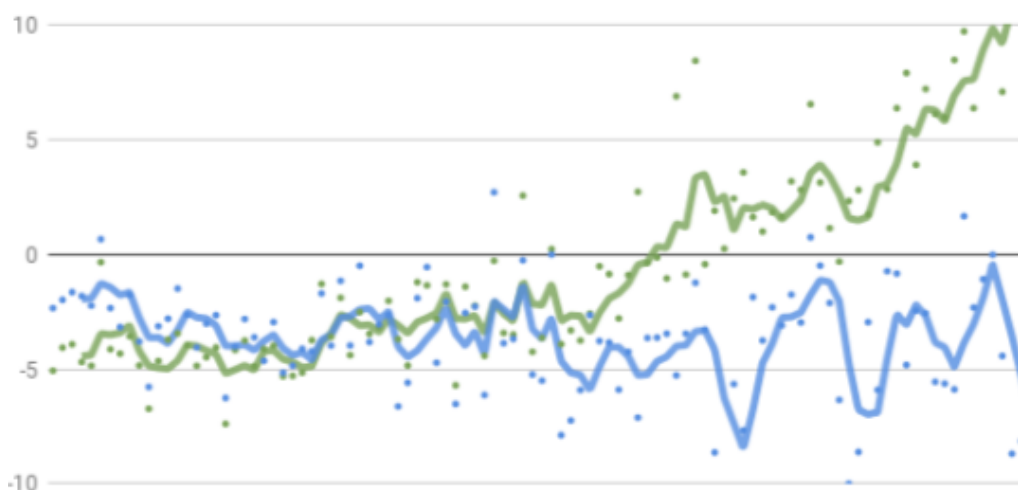
En→Any translation performance with multilingual baselines

● — Over-sampling ● — Original Data Distribution



Any→En translation performance with multilingual baselines

● — Over-sampling ● — Original Data Distribution

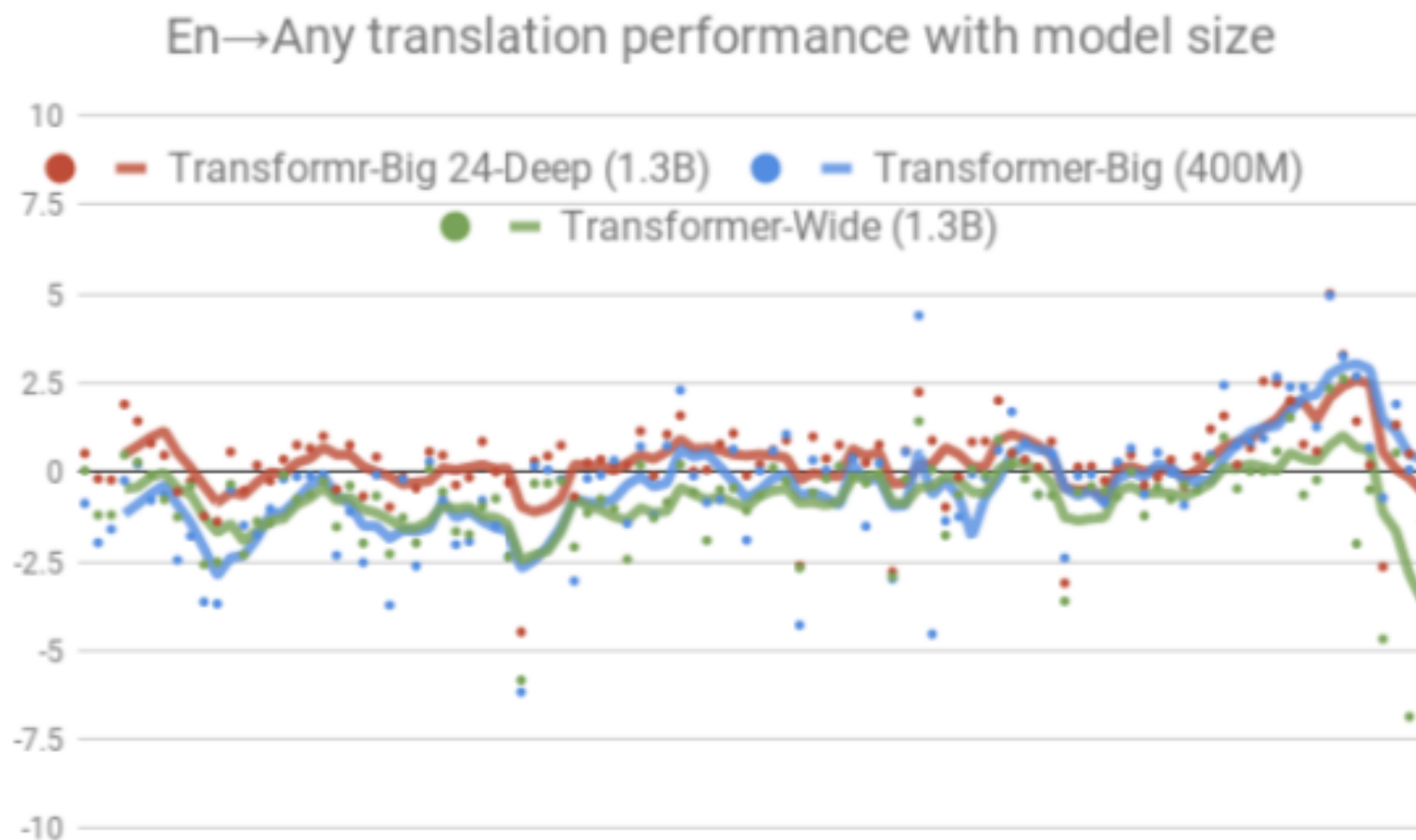


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Multilingual systems: Transformers of varying sizes.





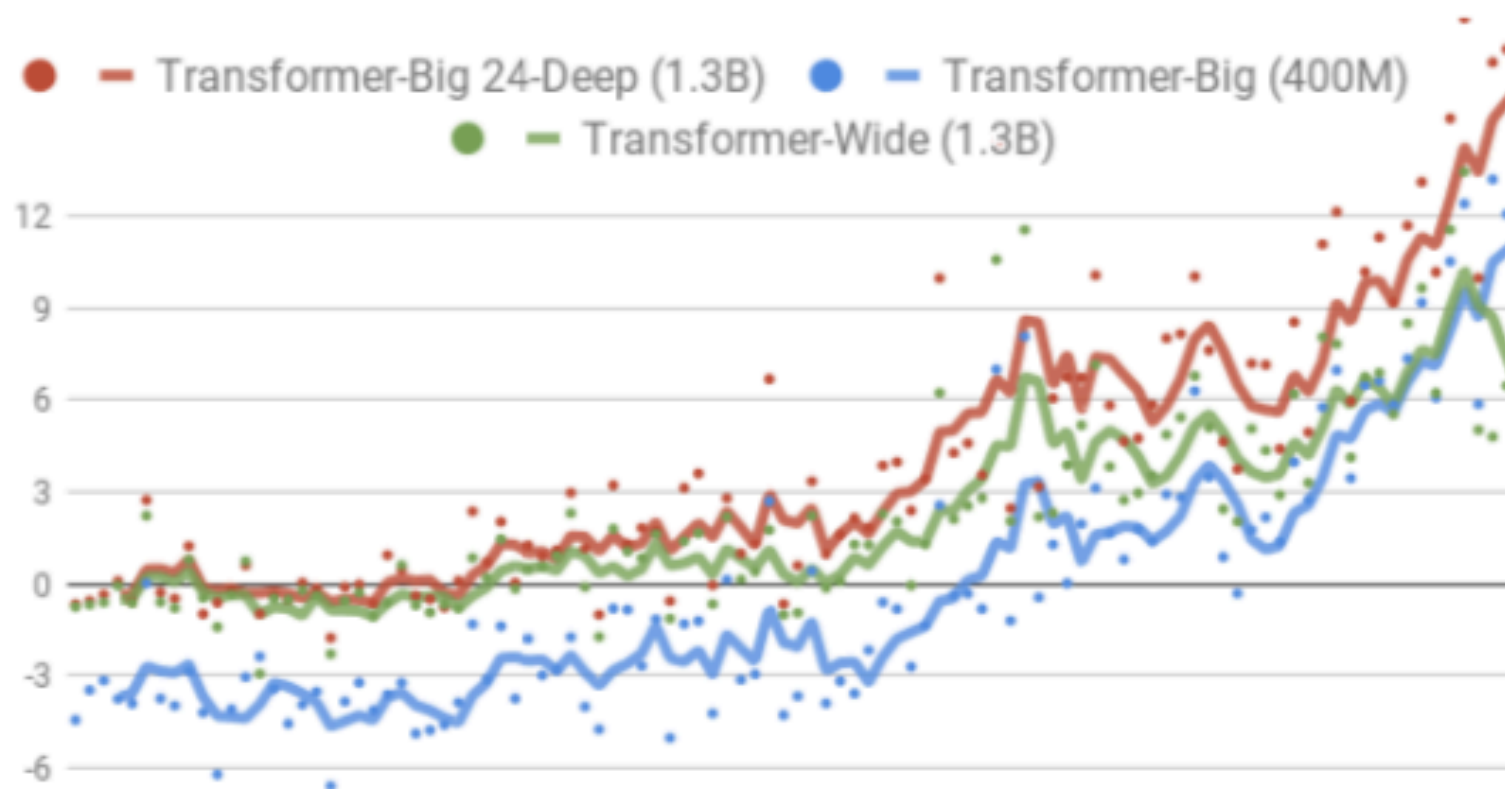
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Any→En translation performance with model size



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