

# Speech Recognition and Synthesis



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# Language Models





# Noisy Channel Model: ASR

- We want to predict a sentence given acoustics:

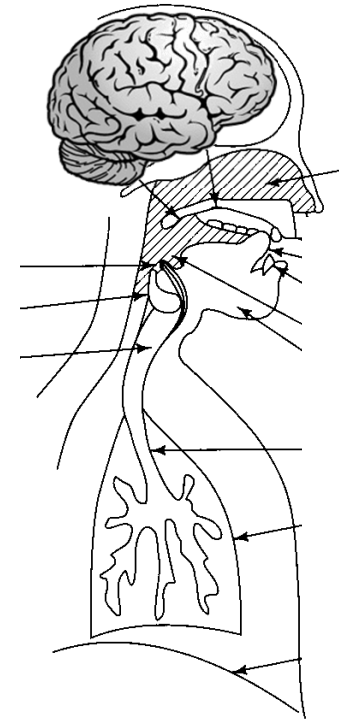
$$w^* = \arg \max_w P(w|a)$$

- The noisy-channel approach:

$$\begin{aligned} w^* &= \arg \max_w P(w|a) \\ &= \arg \max_w P(a|w)P(w)/P(a) \\ &\propto \arg \max_w P(a|w)P(w) \end{aligned}$$

Acoustic model: score fit  
between sounds and words

Language model: score  
plausibility of word sequences



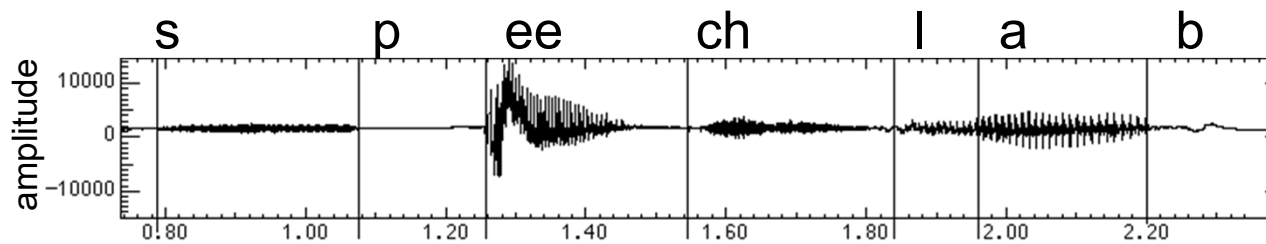
# The Speech Signal

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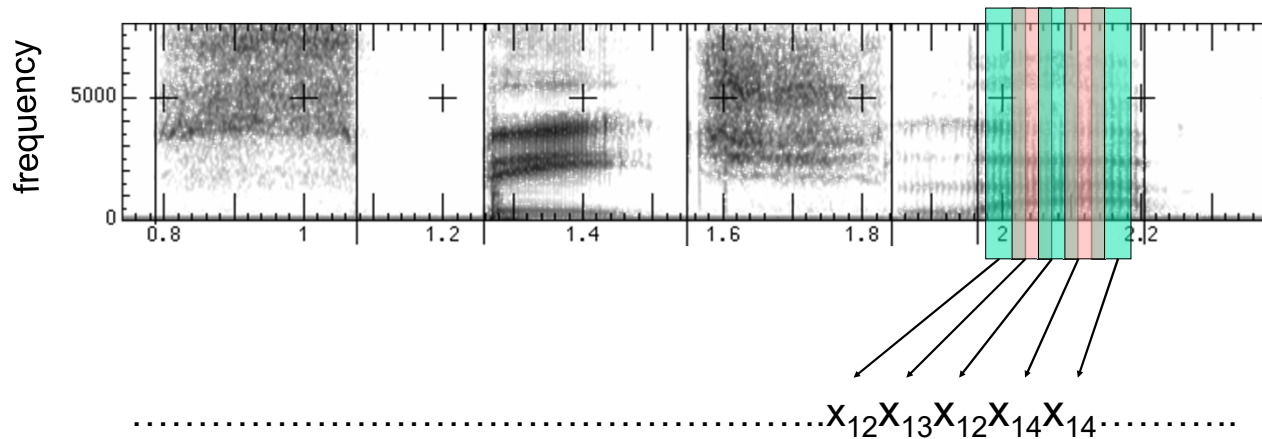


# Speech in a Slide

- Frequency gives pitch; amplitude gives volume



- Frequencies at each time slice processed into observation vectors

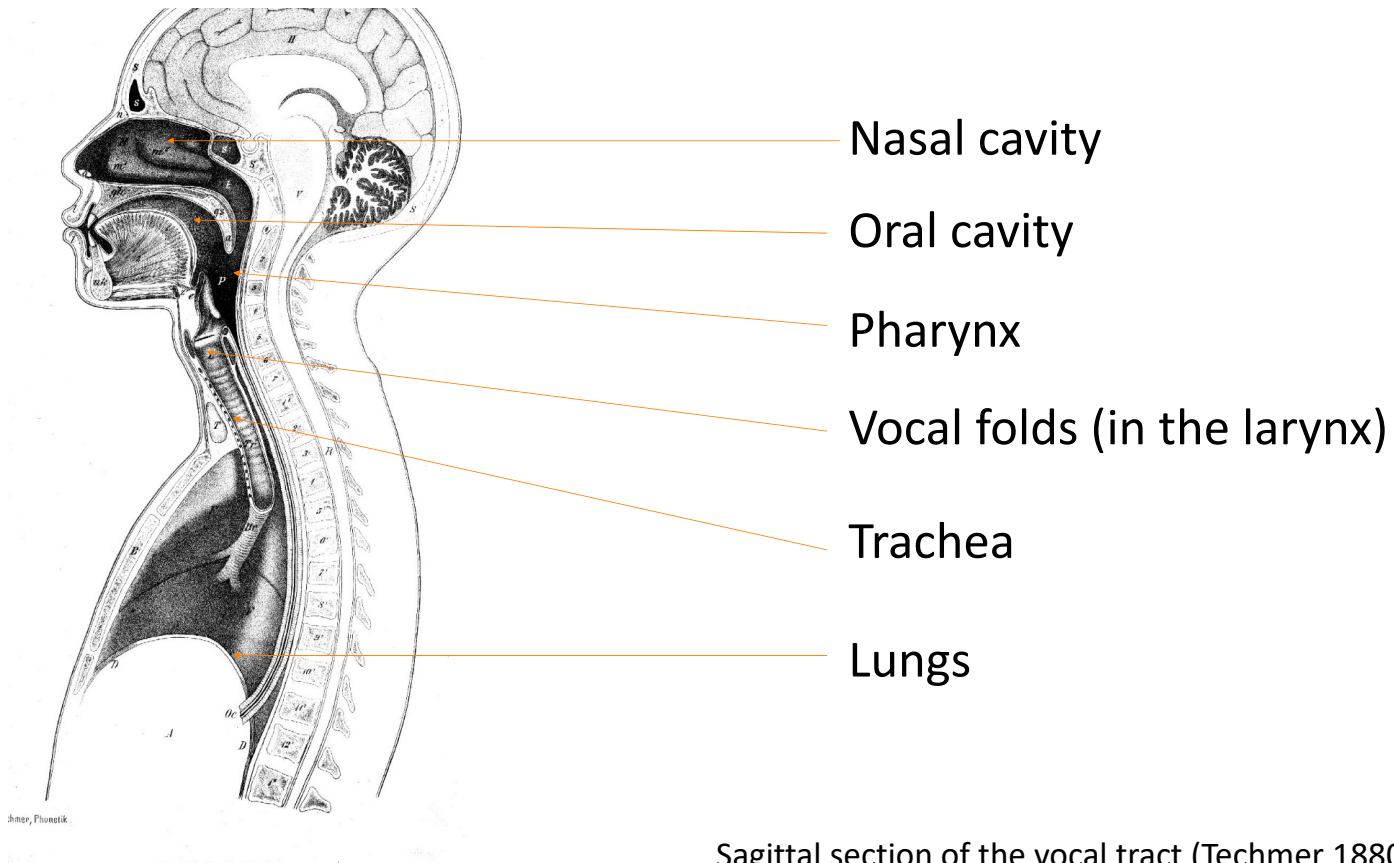


# Articulation

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# Articulatory System



Sagittal section of the vocal tract (Techmer 1880)

Text from Ohala, Sept 2001, from Sharon Rose slide



# Space of Phonemes

- Standard international phonetic alphabet (IPA) chart of consonants

	LABIAL		CORONAL				DORSAL			RADICAL		LARYNGEAL
	Bilabial	Labio-dental	Dental	Alveolar	Palato-alveolar	Retroflex	Palatal	Velar	Uvular	Pharyngeal	Epi-glottal	Glottal
Nasal	m	ɱ	n			ɳ	ɲ	ŋ	ɴ			
Plosive	p b	ɸ β	t d			ʈ ɖ	c ɟ	k ɡ	q ɢ			
Fricative	ɸ β	f v	θ ð	s z	ʃ ʒ	ʂ ʐ	ç ʝ	x ɣ	χ ʁ	ħ ʕ	ħ ʕ	h ɦ
Approximant		ʋ	ɹ			ɻ	j	ɰ				
Trill	ʙ		r						ʀ		ʀ	
Tap, Flap		ⱱ	ɾ			ɽ						
Lateral fricative			ɬ ɮ			ɮ	ɬ	ɮ				
Lateral approximant			l			ɭ	ʎ	ʎ				
Lateral flap			ɺ			ɻ						



# Articulation: Place

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# Places of Articulation

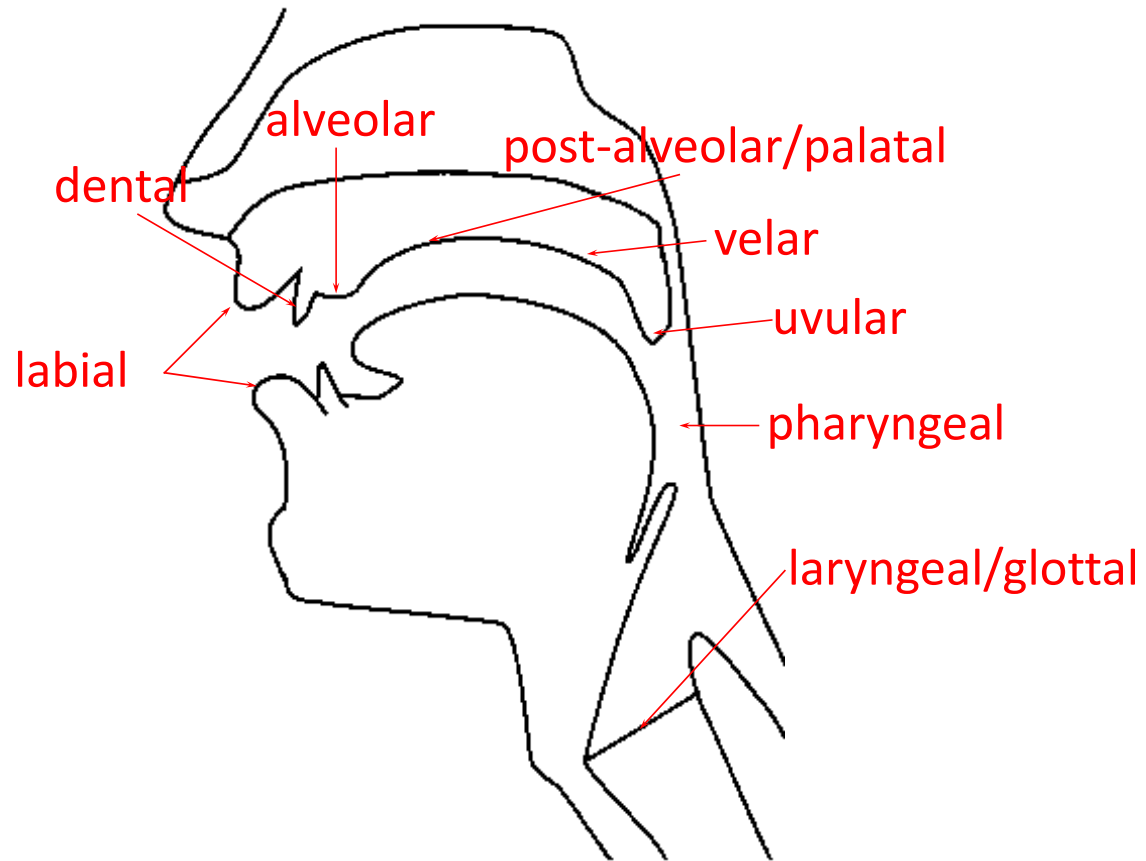
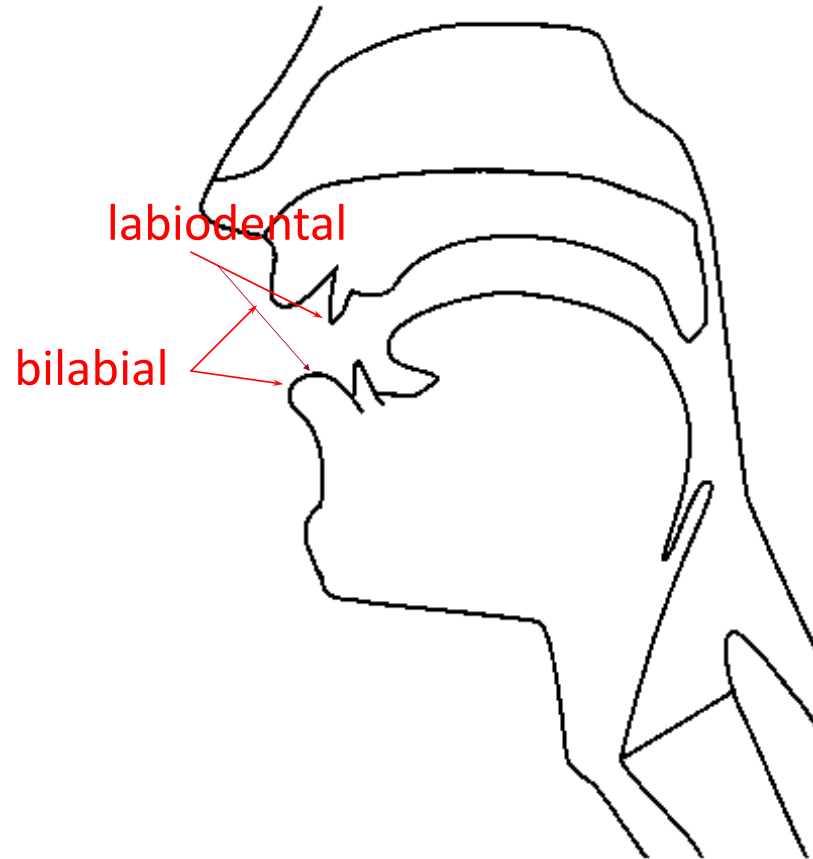


Figure thanks to Jennifer Venditti



# Labial place

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Bilabial:  
p, b, m  
Labiodental:  
f, v

Figure thanks to Jennifer Venditti



# Coronal place

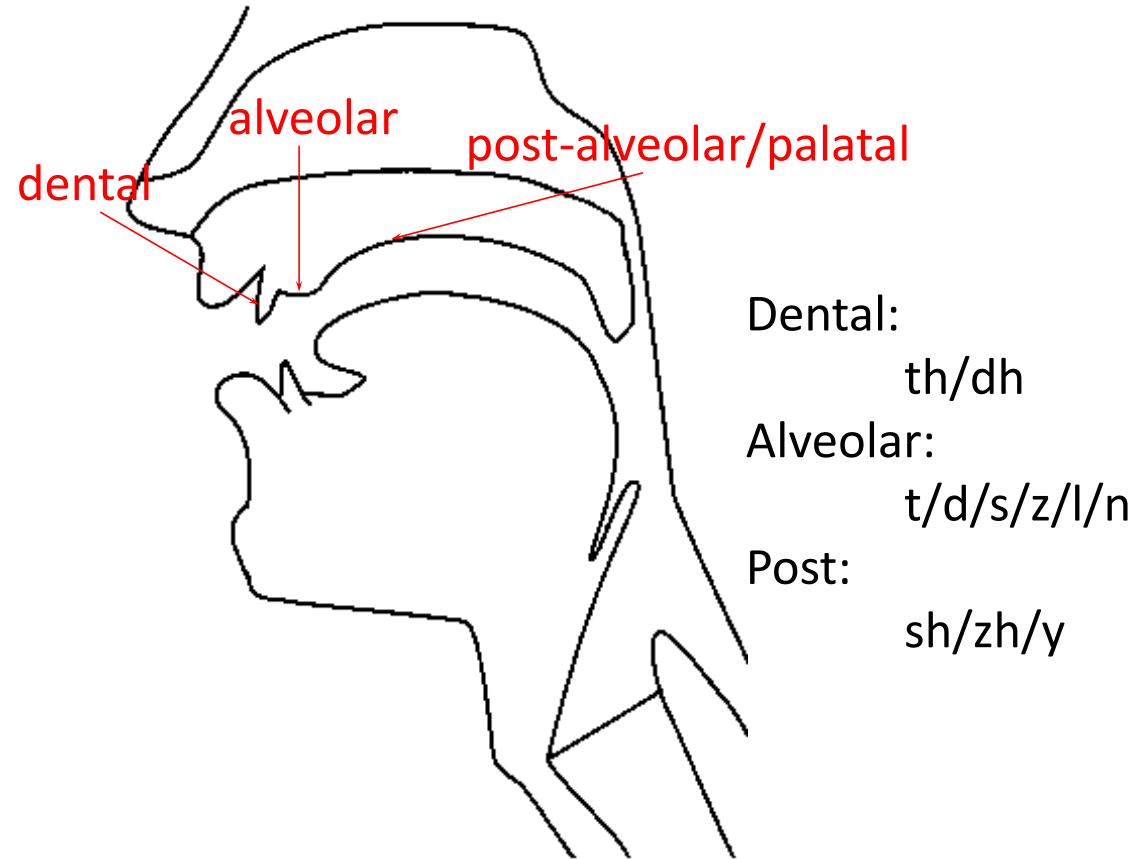


Figure thanks to Jennifer Venditti



# Dorsal Place

Velar:  
k/g/ng

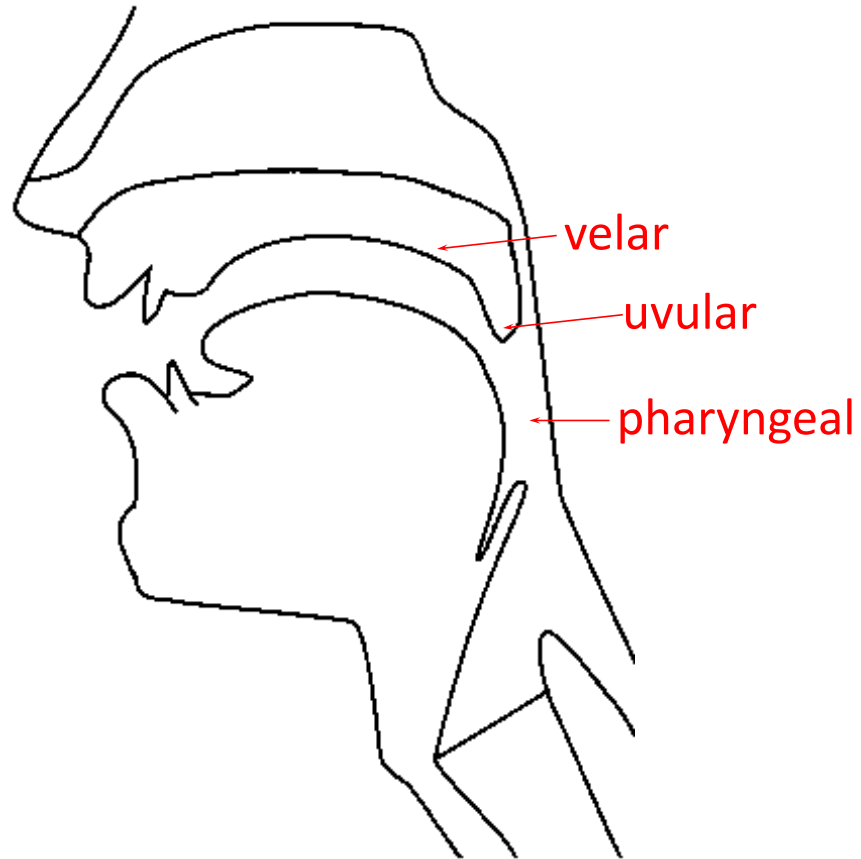


Figure thanks to Jennifer Venditti



# Space of Phonemes

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	LABIAL		CORONAL				DORSAL			RADICAL		LARYNGEAL
	Bilabial	Labio-dental	Dental	Alveolar	Palato-alveolar	Retroflex	Palatal	Velar	Uvular	Pharyngeal	Epi-glottal	Glottal
Nasal	m	ɱ	n			ɳ	ɲ	ŋ	ɴ			
Plosive	p b	ɸ β	t d			ʈ ɖ	c ɟ	k ɡ	q ɢ			
Fricative	ɸ β	f v	θ ð	s z	ʃ ʒ	ʂ ʐ	ç ʝ	x ɣ	χ ʁ	ħ ʕ	ħ ʕ	h ɦ
Approximant		ʋ	ɹ			ɻ	j	ɰ				
Trill	ʙ		r						ʀ		ʀ	
Tap, Flap		ⱱ	ɾ			ɽ						
Lateral fricative			ɬ ɮ			ɮ	ɬ	ɮ				
Lateral approximant			l			ɭ	ʎ	ʎ				
Lateral flap			ɺ			ɺ						

# Articulation: Manner

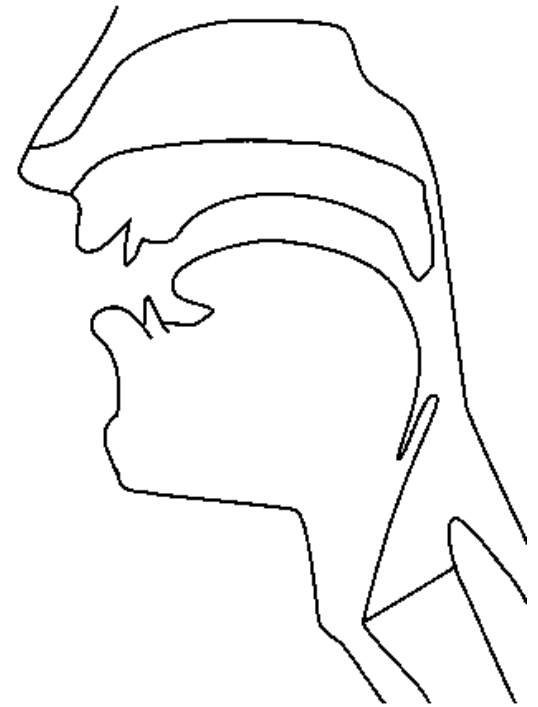
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# Manner of Articulation

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- In addition to varying by place, sounds vary by manner
- Stop: complete closure of articulators, no air escapes via mouth
  - Oral stop: palate is raised (p, t, k, b, d, g)
  - Nasal stop: oral closure, but palate is lowered (m, n, ng)
- Fricatives: substantial closure, turbulent: (f, v, s, z)
- Approximants: slight closure, sonorant: (l, r, w)
- Vowels: no closure, sonorant: (i, e, a)







# Space of Phonemes

- Standard international phonetic alphabet (IPA) chart of consonants

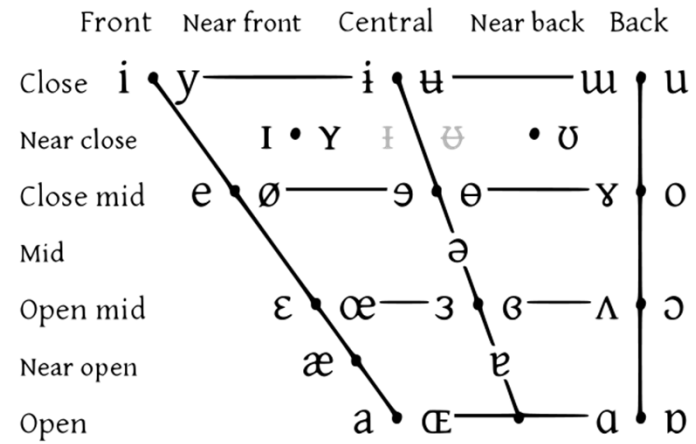
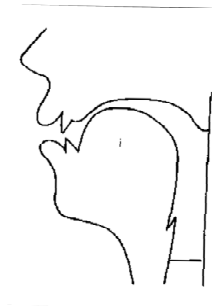
	LABIAL		CORONAL				DORSAL			RADICAL		LARYNGEAL
	Bilabial	Labio-dental	Dental	Alveolar	Palato-alveolar	Retroflex	Palatal	Velar	Uvular	Pharyngeal	Epi-glottal	Glottal
Nasal	m	ɱ	n			ɳ	ɲ	ŋ	ɴ			
Plosive	p b	ɸ β	t d			ʈ ɖ	c ɟ	k ɡ	q ɢ			
Fricative	ɸ β	f v	θ ð	s z	ʃ ʒ	ʂ ʐ	ç ʝ	x ɣ	χ ʁ	ħ ʕ	ħ ʕ	h ɦ
Approximant		ʋ	ɹ			ɻ	j	ɰ				
Trill	ʙ		r						ʀ		ʀ	
Tap, Flap		ⱱ	ɾ			ɽ						
Lateral fricative			ɬ ɮ			ɮ	ɬ	ɮ				
Lateral approximant			l			ɭ	ʎ	ʎ				
Lateral flap			ɺ			ɻ						

# Articulation: Vowels

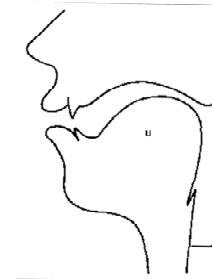
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# Vowel Space



Vowels at right & left of bullets are rounded & unrounded.

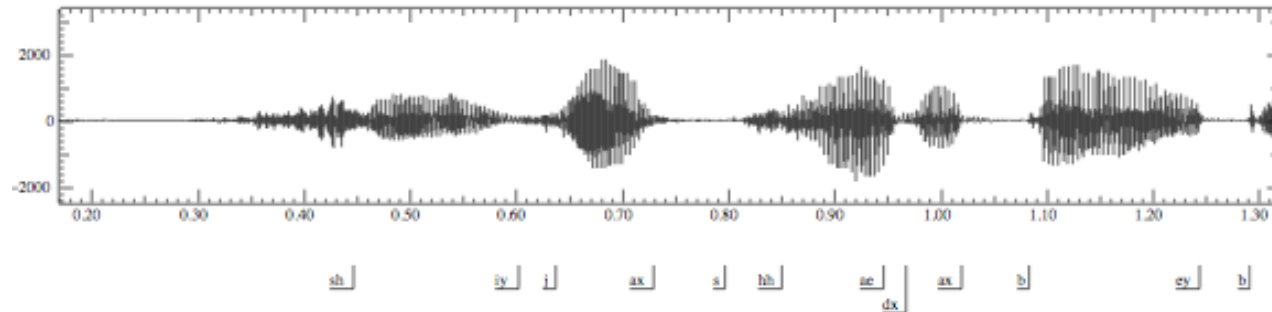


# Acoustics

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# “She just had a baby”

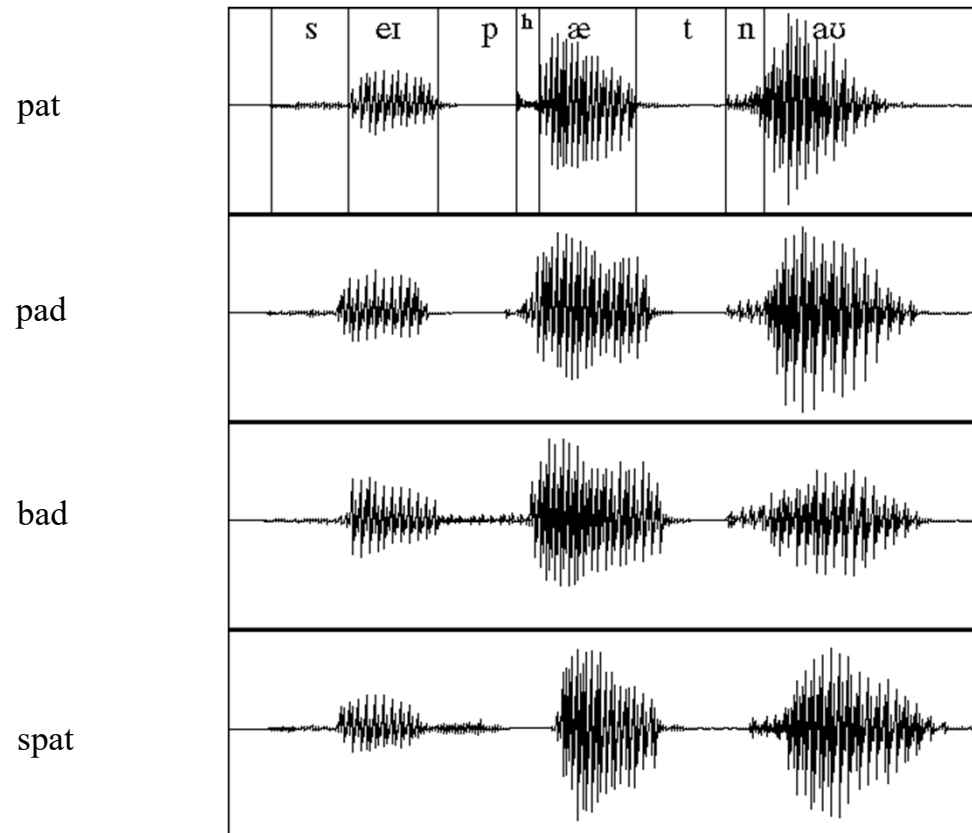


## What can we learn from a wavefile?

- No gaps between words (!)
- Vowels are voiced, long, loud
- Length in time = length in space in waveform picture
- Voicing: regular peaks in amplitude
- When stops closed: no peaks, silence
- Peaks = voicing: .46 to .58 (vowel [iy], from second .65 to .74 (vowel [ax]) and so on
- Silence of stop closure (1.06 to 1.08 for first [b], or 1.26 to 1.28 for second [b])
- Fricatives like [sh]: intense irregular pattern; see .33 to .46



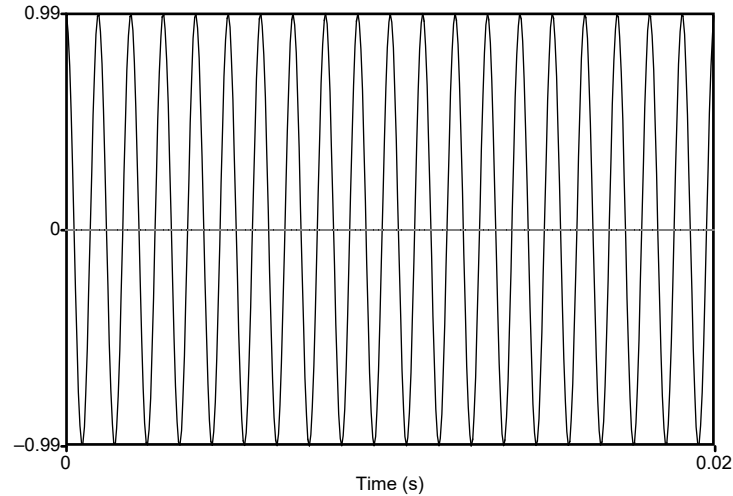
# Time-Domain Information



Example from Ladefoged



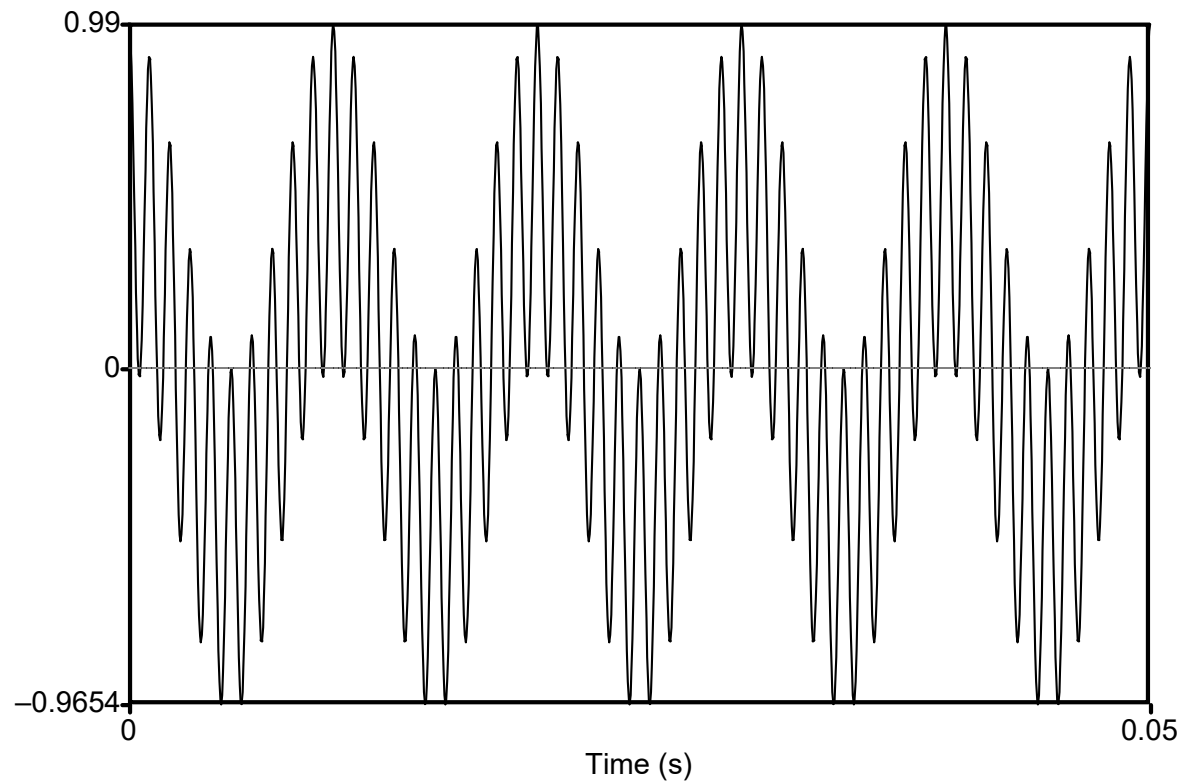
# Simple Periodic Waves of Sound



- Y axis: Amplitude = amount of air pressure at that point in time
  - Zero is normal air pressure, negative is rarefaction
- X axis: Time
- Frequency = number of cycles per second
- 20 cycles in .02 seconds = 1000 cycles/second = 1000 Hz



# Complex Waves: 100Hz+1000Hz



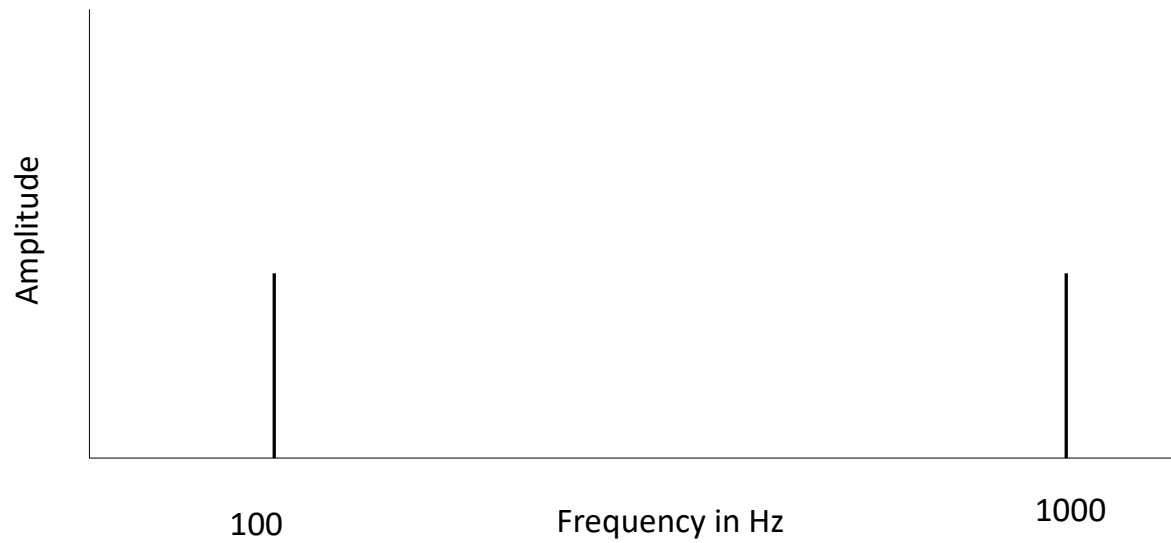




# Spectrum

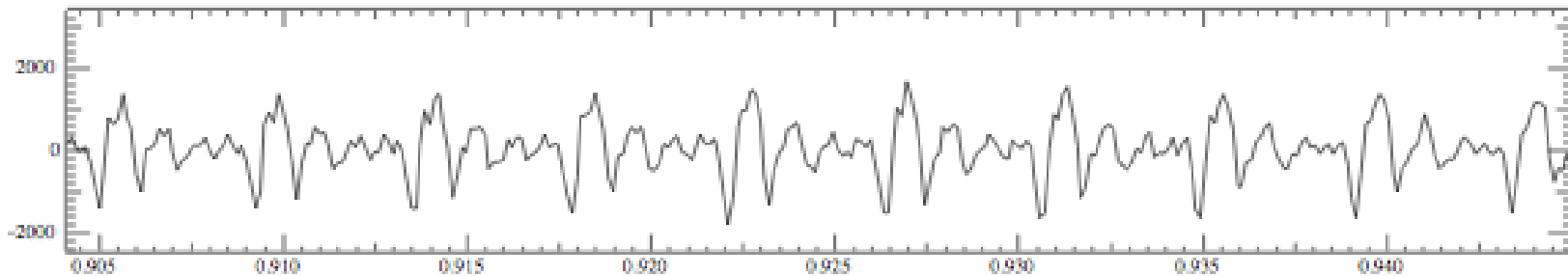
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Frequency components (100 and 1000 Hz) on x-axis





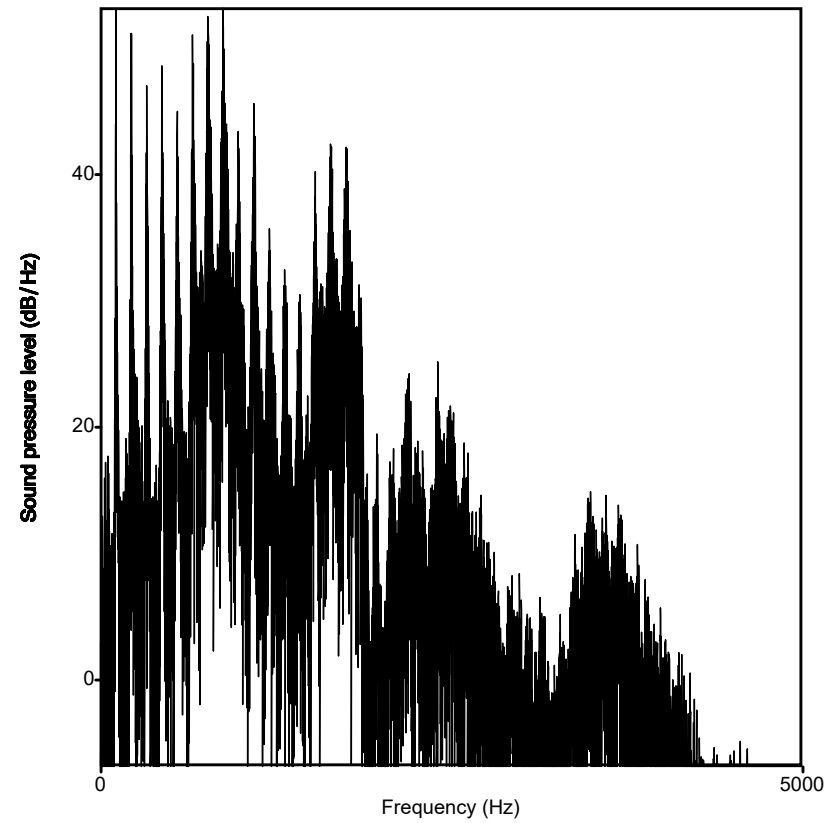
## Part of [ae] waveform from “had”



- Note complex wave repeating nine times in figure
- Smaller waves which repeat 4 times for every large pattern
- Large wave has frequency of 250 Hz (9 times in .036 seconds)
- Small wave roughly 4 times this, or roughly 1000 Hz
- Two little tiny waves on top of peak of 1000 Hz waves



# Spectrum of an Actual Soundwave



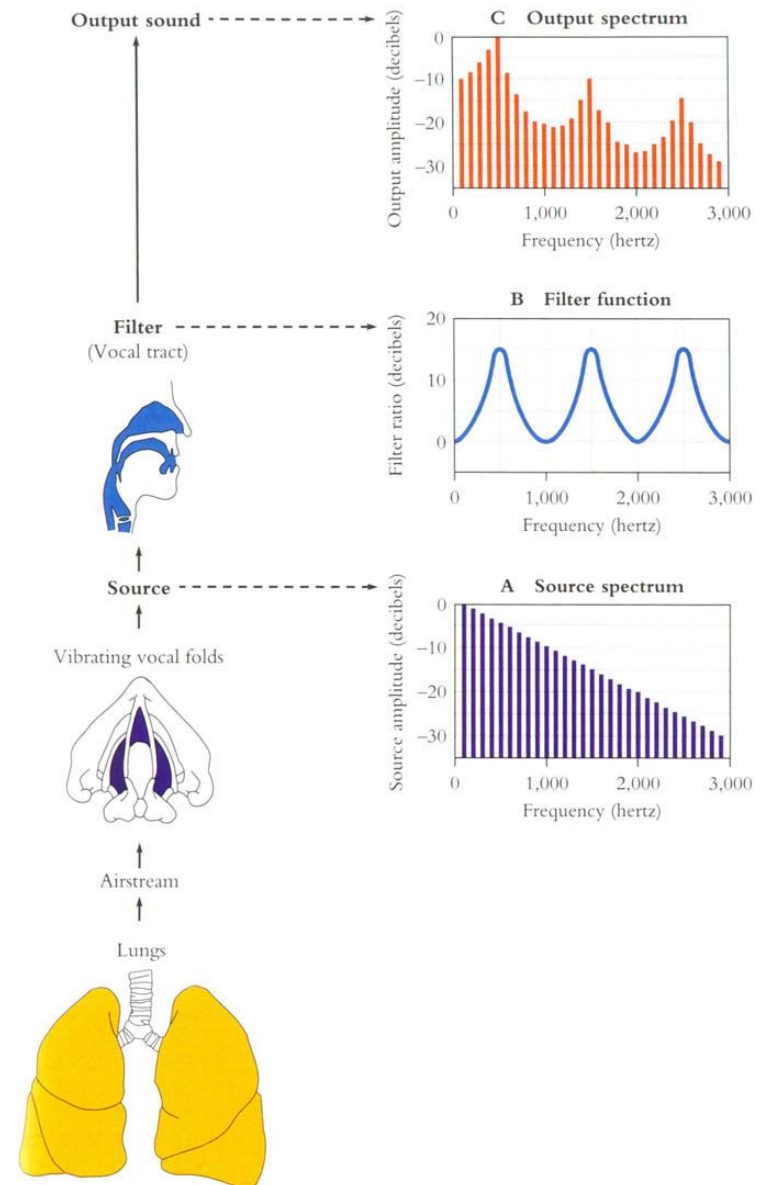
Source / Channel

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# Why these Peaks?

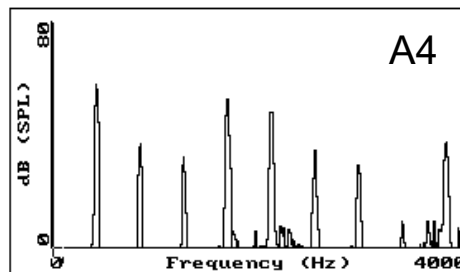
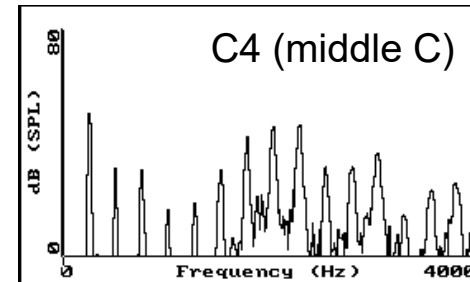
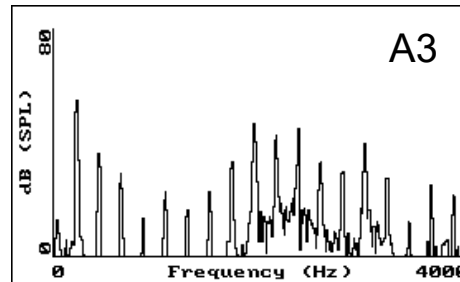
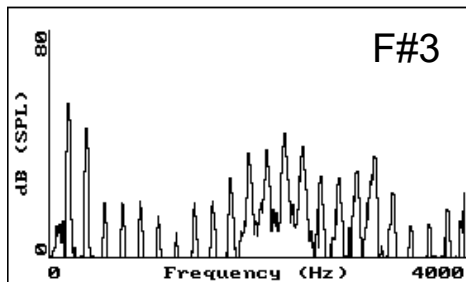
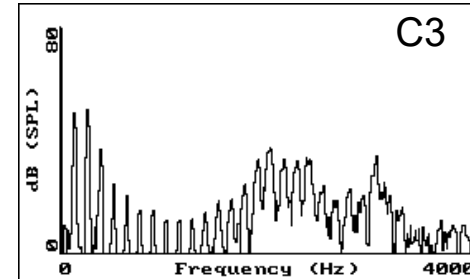
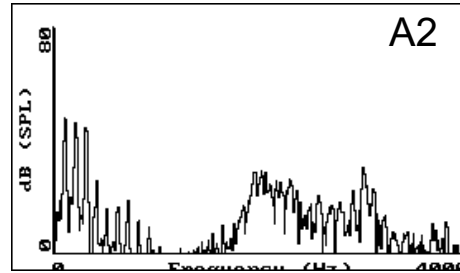
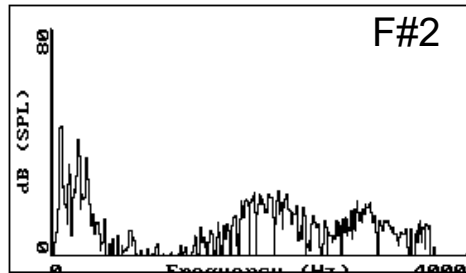
## ■ Articulation process:

- The vocal cord vibrations create harmonics
- The mouth is an amplifier
- Depending on shape of mouth, some harmonics are amplified more than others





# Vowel [i] at increasing pitches

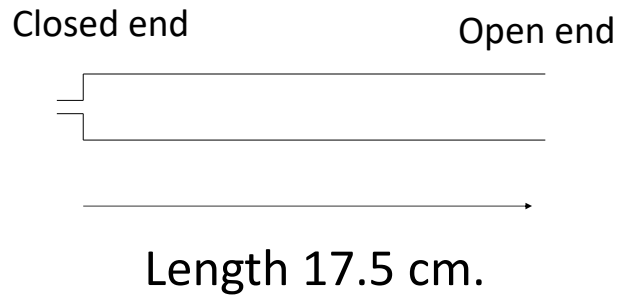


Figures from Ratree Wayland



# Resonances of the Vocal Tract

- The human vocal tract as an open tube:



- Air in a tube of a given length will tend to vibrate at resonance frequency of tube.
- Constraint: Pressure differential should be maximal at (closed) glottal end and minimal at (open) lip end.

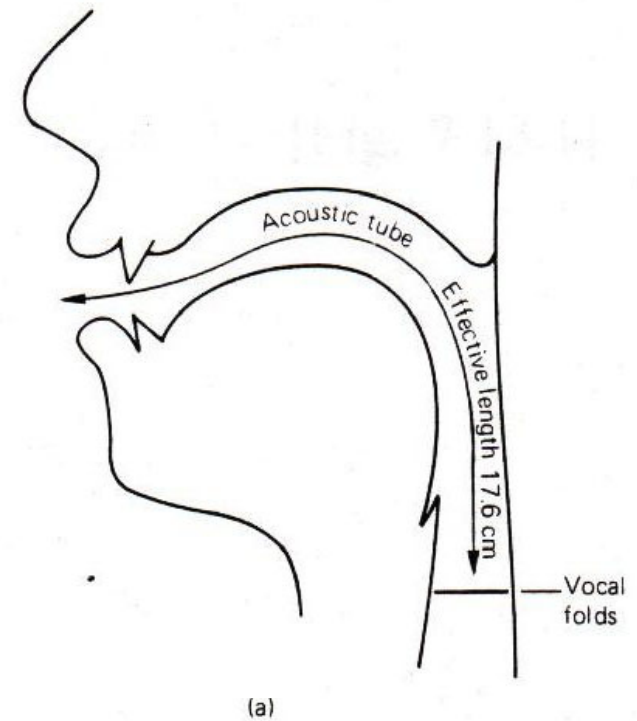
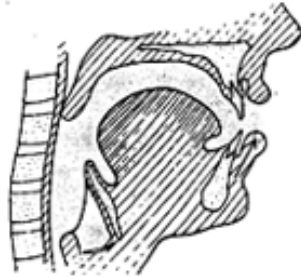
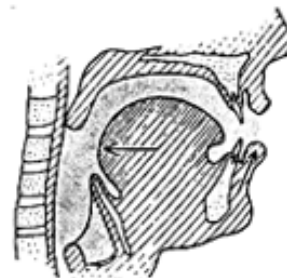
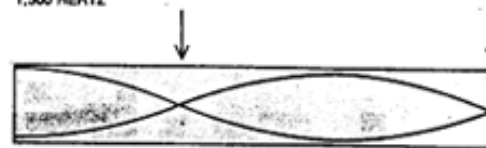


Figure from W. Barry

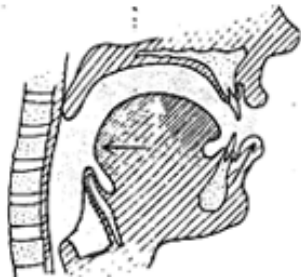
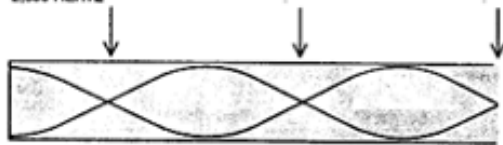
FIRST FORMANT  
1/4 WAVELENGTH  
500 HERTZ



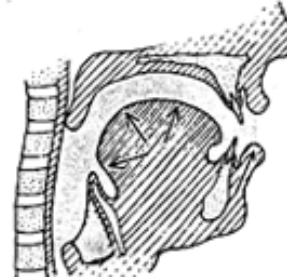
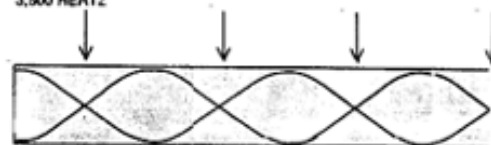
SECOND FORMANT  
3/4 WAVELENGTH  
1,500 HERTZ



THIRD FORMANT  
5/4 WAVELENGTH  
2,500 HERTZ



FOURTH FORMANT  
7/4 WAVELENGTH  
3,500 HERTZ





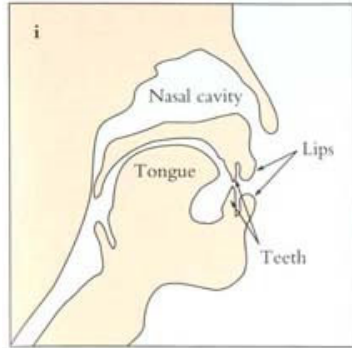


## Computing the 3 Formants of Schwa

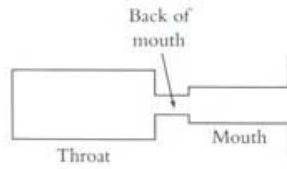
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- Let the length of the tube be  $L$ 
  - $F_1 = c/\lambda_1 = c/(4L) = 35,000/4*17.5 = 500\text{Hz}$
  - $F_2 = c/\lambda_2 = c/(4/3L) = 3c/4L = 3*35,000/4*17.5 = 1500\text{Hz}$
  - $F_3 = c/\lambda_3 = c/(4/5L) = 5c/4L = 5*35,000/4*17.5 = 2500\text{Hz}$
- So we expect a neutral vowel to have 3 resonances at 500, 1500, and 2500 Hz
- These vowel resonances are called **formants**

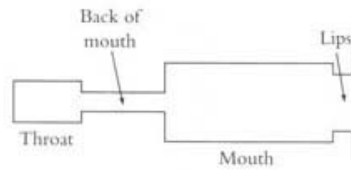
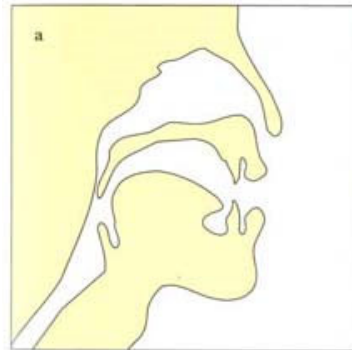
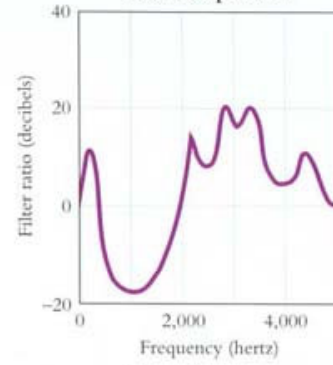
Cross section of vocal tract



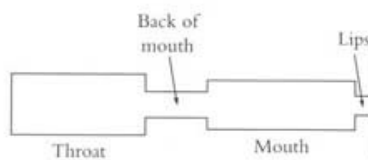
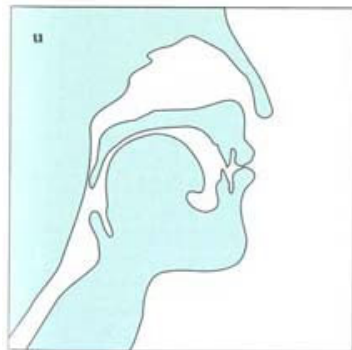
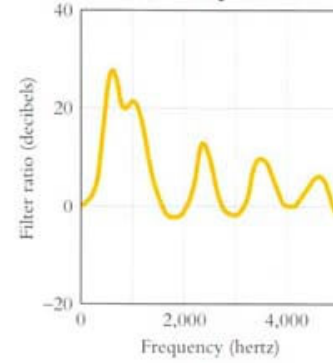
Model of vocal tract



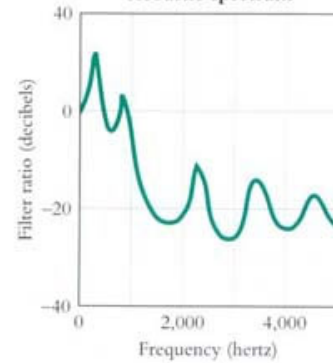
Acoustic spectrum



Acoustic spectrum



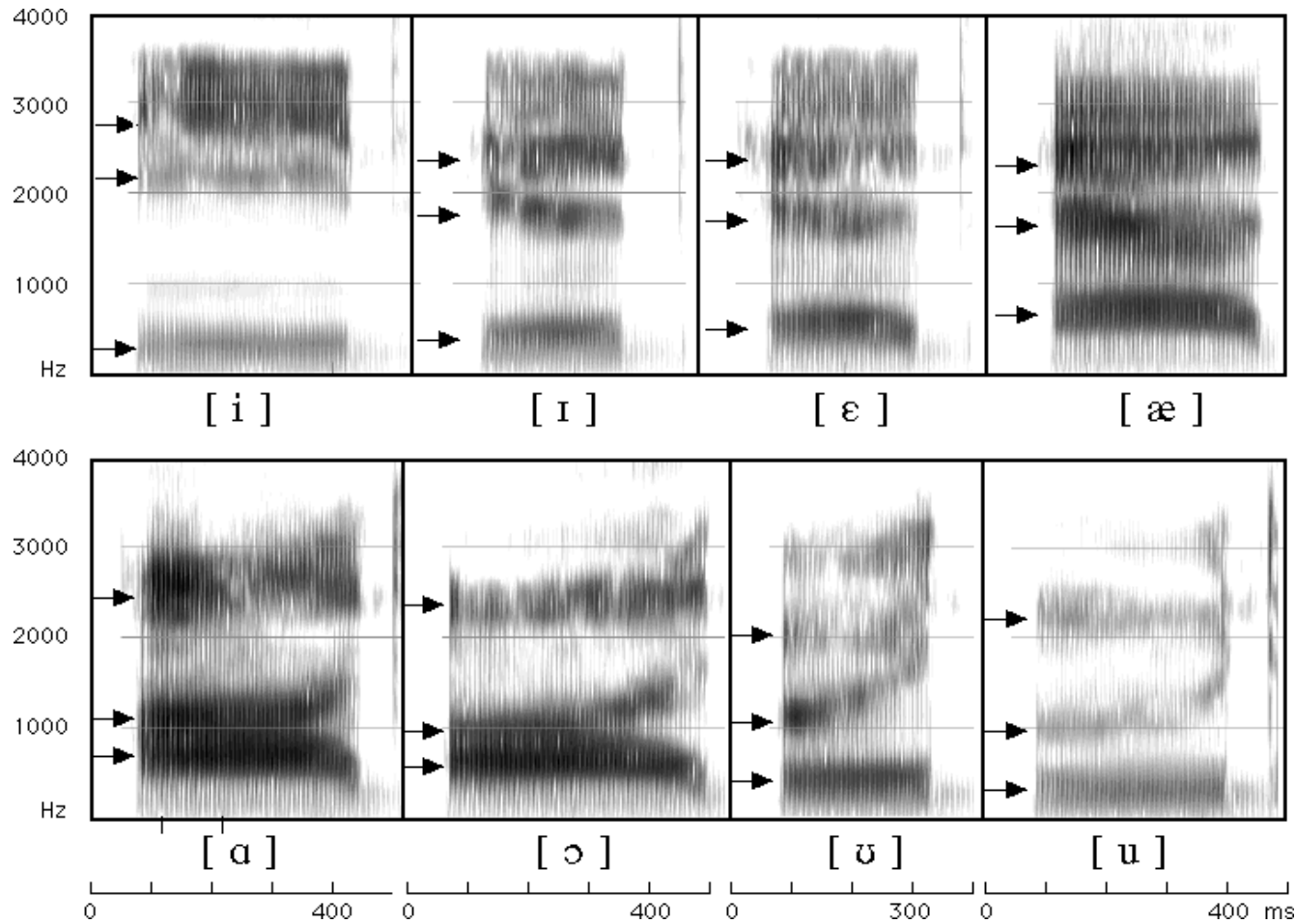
Acoustic spectrum



From Mark Liberman

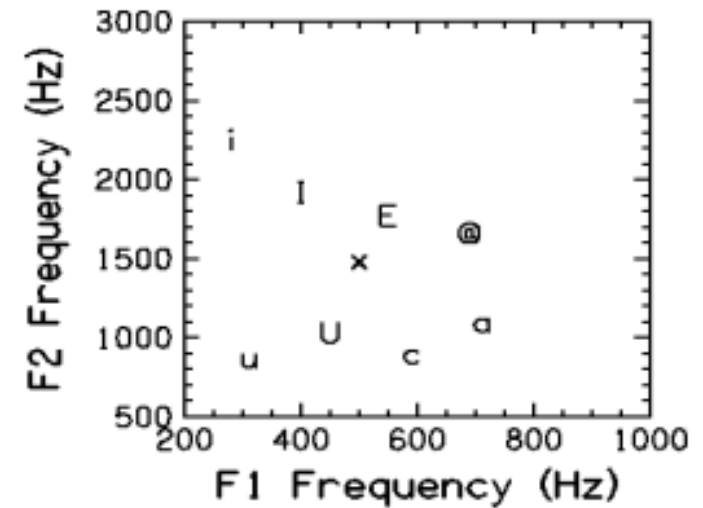
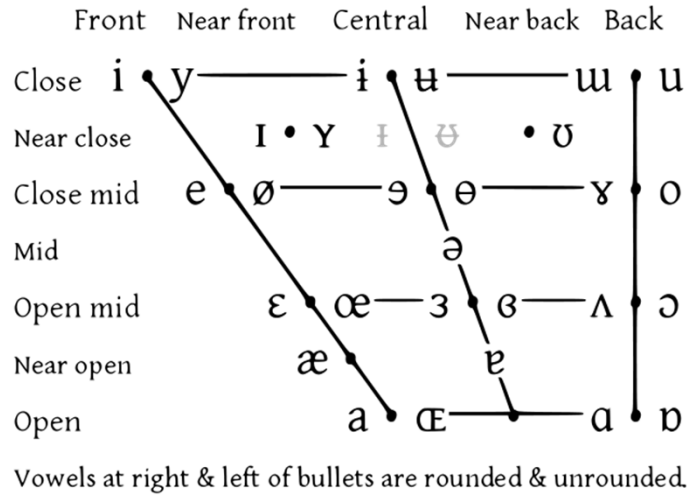


# Seeing Formants: the Spectrogram





# Vowel Space

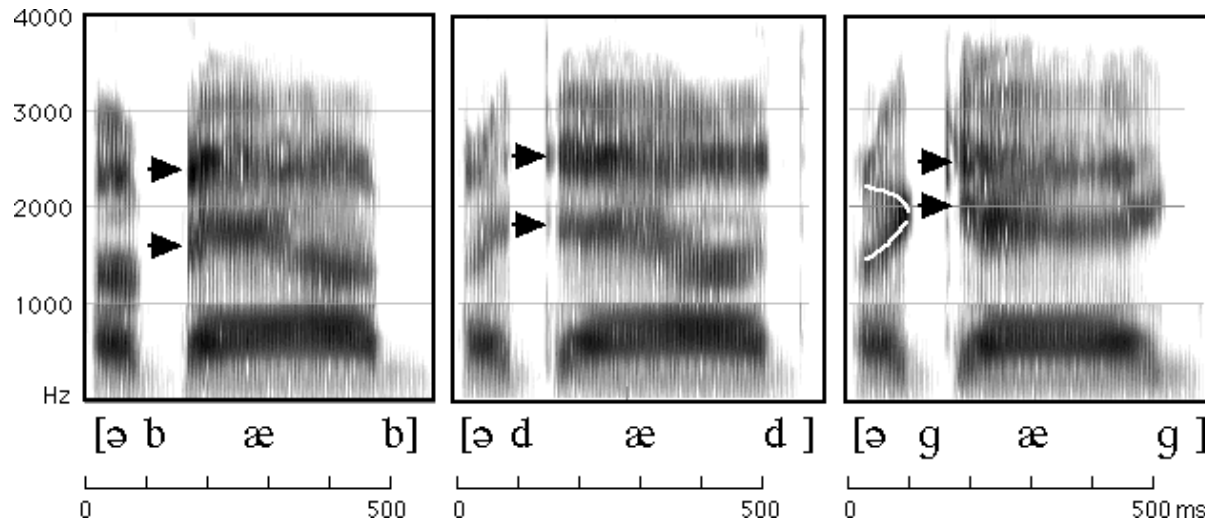


# Spectrograms

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# How to Read Spectrograms

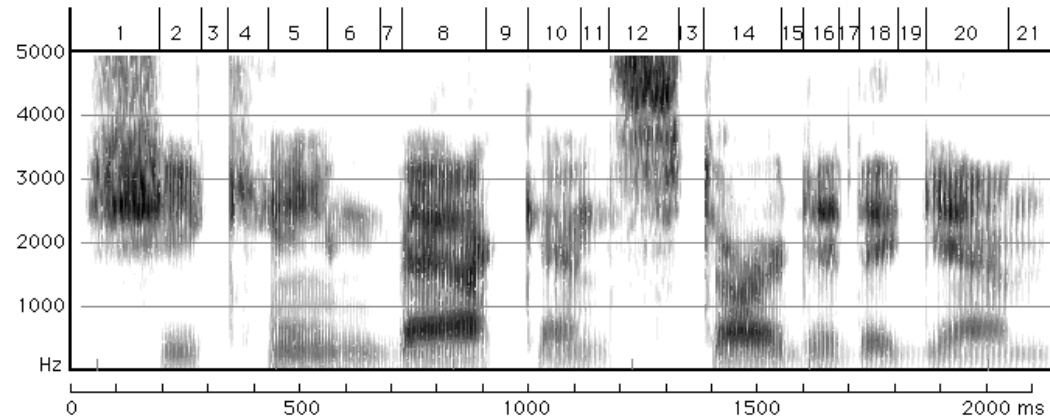


- [bab]: closure of lips lowers all formants: so rapid increase in all formants at beginning of "bab"
- [dad]: first formant increases, but F2 and F3 slight fall
- [gag]: F2 and F3 come together: this is a characteristic of velars. Formant transitions take longer in velars than in alveolars or labials

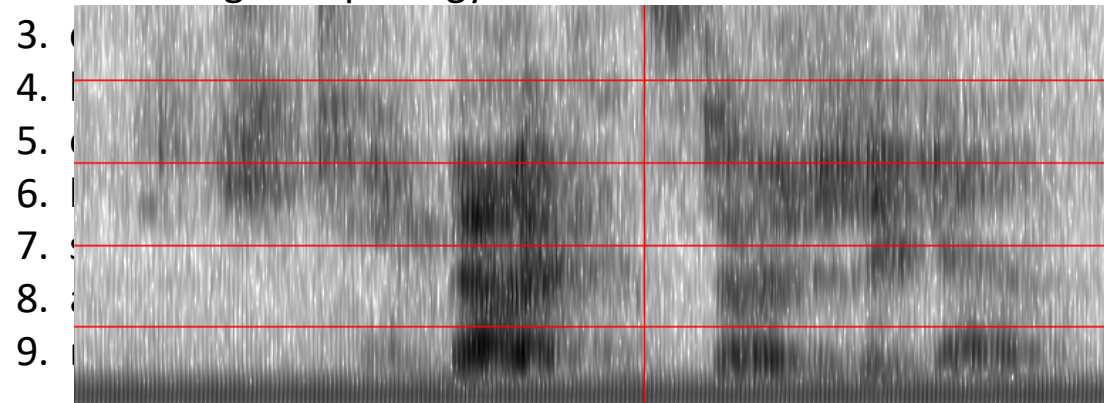
From Ladefoged "A Course in Phonetics"



# “She came back and started again”



1. lots of high-freq energy



From Ladefoged "A Course in Phonetics"

# Speech Recognition

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# Speech Recognition Architecture

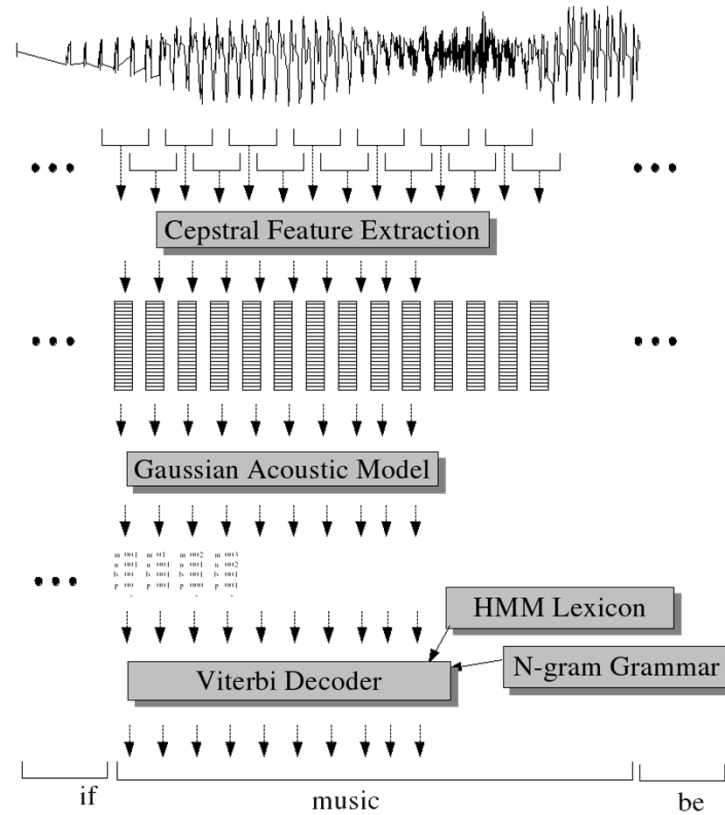


Figure: J & M

# Feature Extraction

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# Digitizing Speech

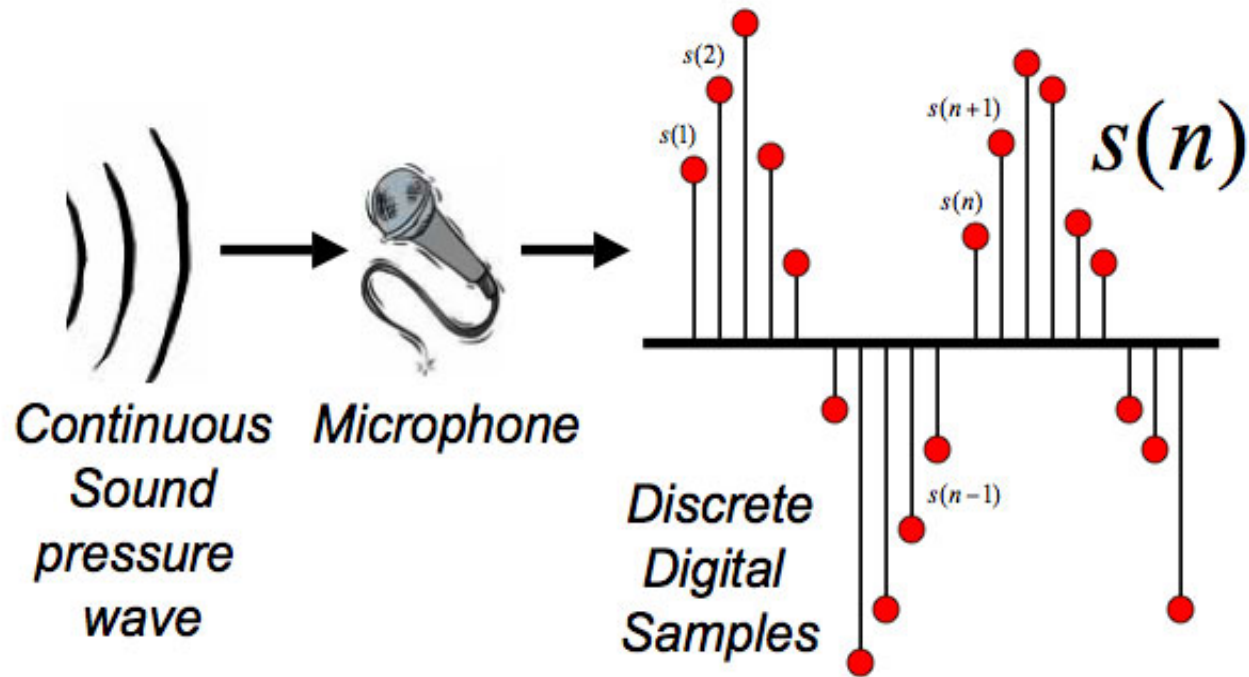


Figure: Bryan Pellom



# Frame Extraction

- A 25 ms wide frame is extracted every 10 ms

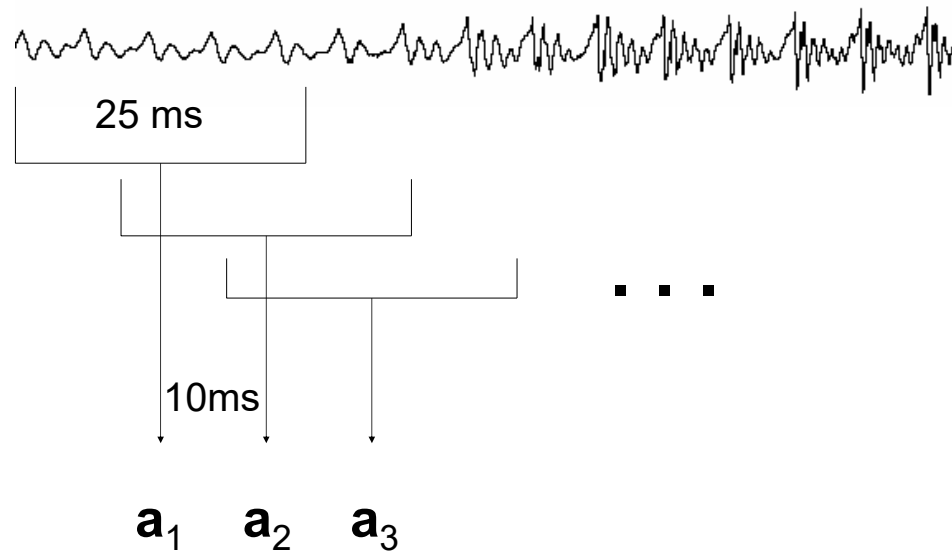
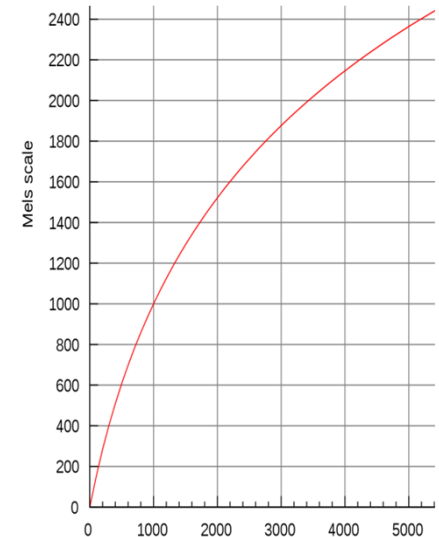
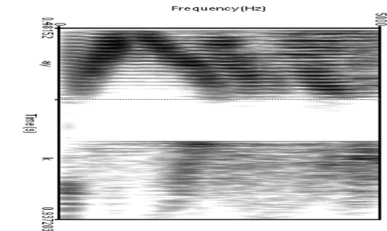


Figure: Simon Arnfield



# Mel Freq. Cepstral Coefficients

- Do FFT to get spectral information
  - Like the spectrogram we saw earlier
- Apply Mel scaling
  - Models human ear; more sensitivity in lower freqs
  - Approx linear below 1kHz, log above, equal samples above and below 1kHz
- Plus discrete cosine transform



[Graph: Wikipedia]



# Final Feature Vector

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- 39 (real) features per 10 ms frame:
  - 12 MFCC features
  - 12 delta MFCC features
  - 12 delta-delta MFCC features
  - 1 (log) frame energy
  - 1 delta (log) frame energy
  - 1 delta-delta (log frame energy)
- So each frame is represented by a 39D vector

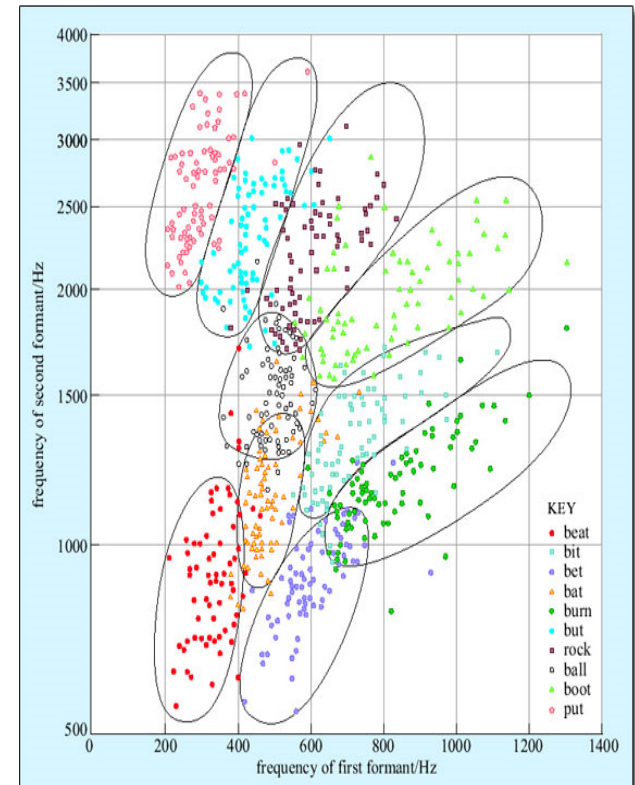
# Emission Model

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# HMMs for Continuous Observations

- Solution 1: discretization
- Solution 2: continuous emission models
  - Gaussians
  - Multivariate Gaussians
  - Mixtures of multivariate Gaussians
- Solution 3: neural classifiers
- A state is progressively
  - Context independent subphone (~3 per phone)
  - Context dependent phone (triphones)
  - State tying of CD phone

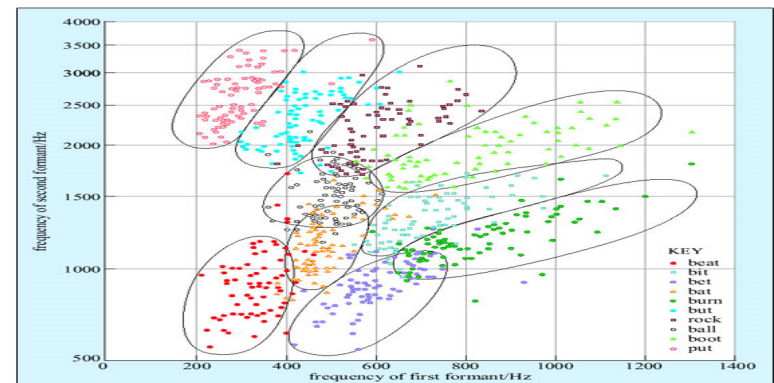
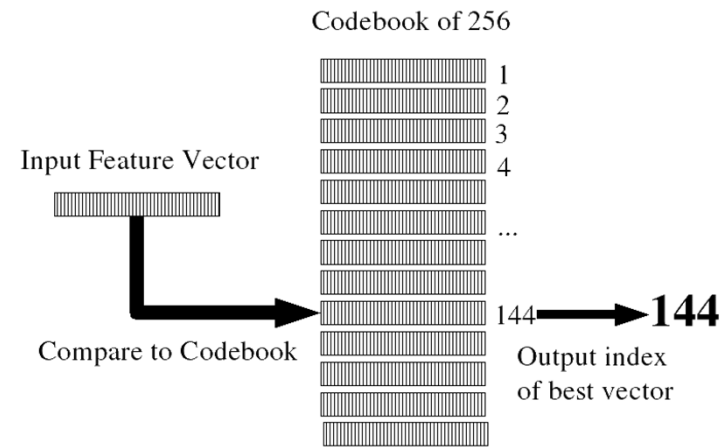






# Vector Quantization

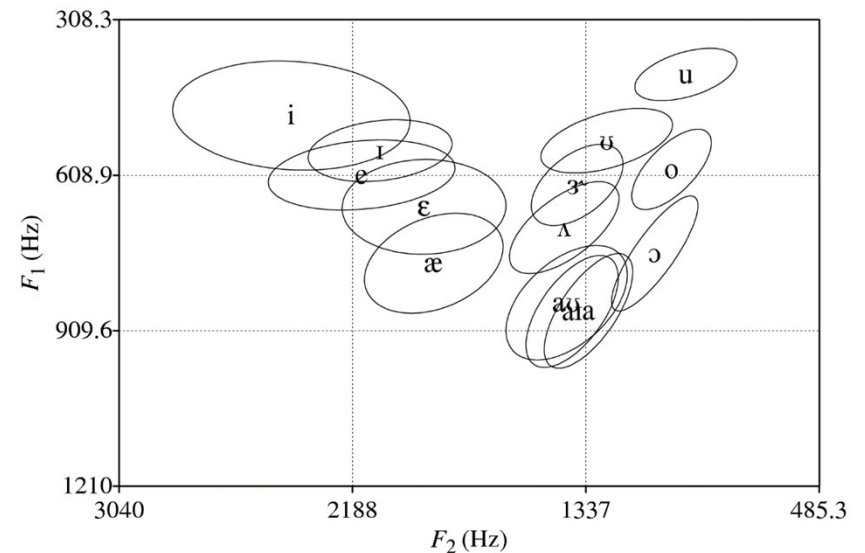
- Idea: discretization
  - Map MFCC vectors onto discrete symbols
  - Compute probabilities just by counting
- This is called vector quantization or VQ
- Not used for ASR any more
- But: useful to consider as a starting point, and for understanding neural methods





# Gaussian Emissions

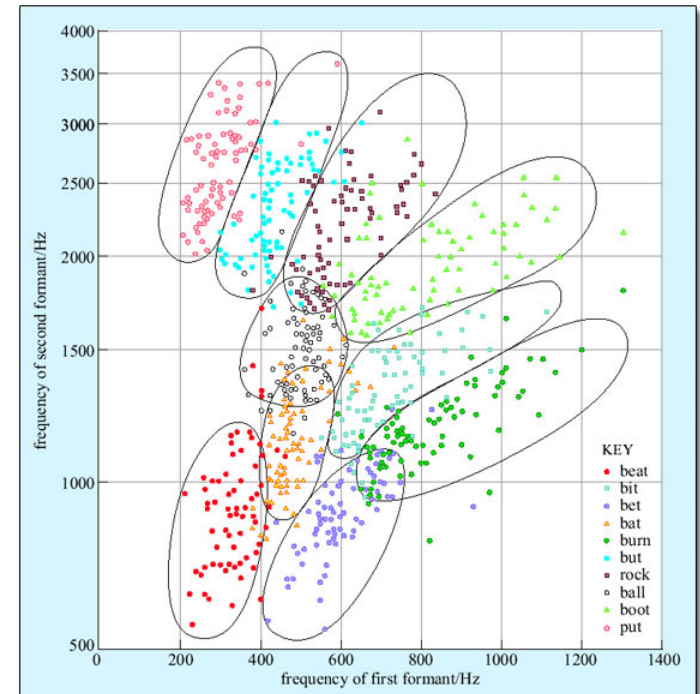
- VQ is insufficient for top-quality ASR
  - Hard to cover high-dimensional space with codebook
  - Moves ambiguity from the model to the preprocessing
- Instead: assume the possible values of the observation vectors are normally distributed.
  - Represent the observation likelihood function as a Gaussian?





# But we're not there yet

- Single Gaussians may do a bad job of modeling a complex distribution in any dimension
- Even worse for diagonal covariances
- Classic solution: mixtures of Gaussians
- Modern solution: NN-based acoustic models map feature vectors to (sub)states



From [openlearn.open.ac.uk](http://openlearn.open.ac.uk)

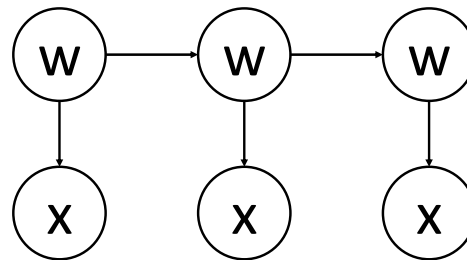
# HMM / State Model

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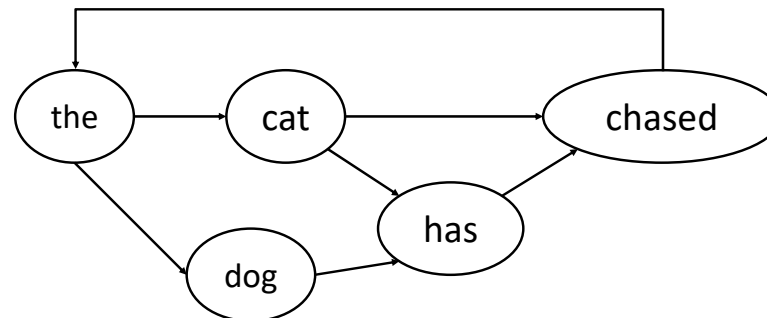


# State Transition Diagrams

- Bayes Net: HMM as a Graphical Model



- State Transition Diagram: Markov Model as a Weighted FSA





# ASR Lexicon

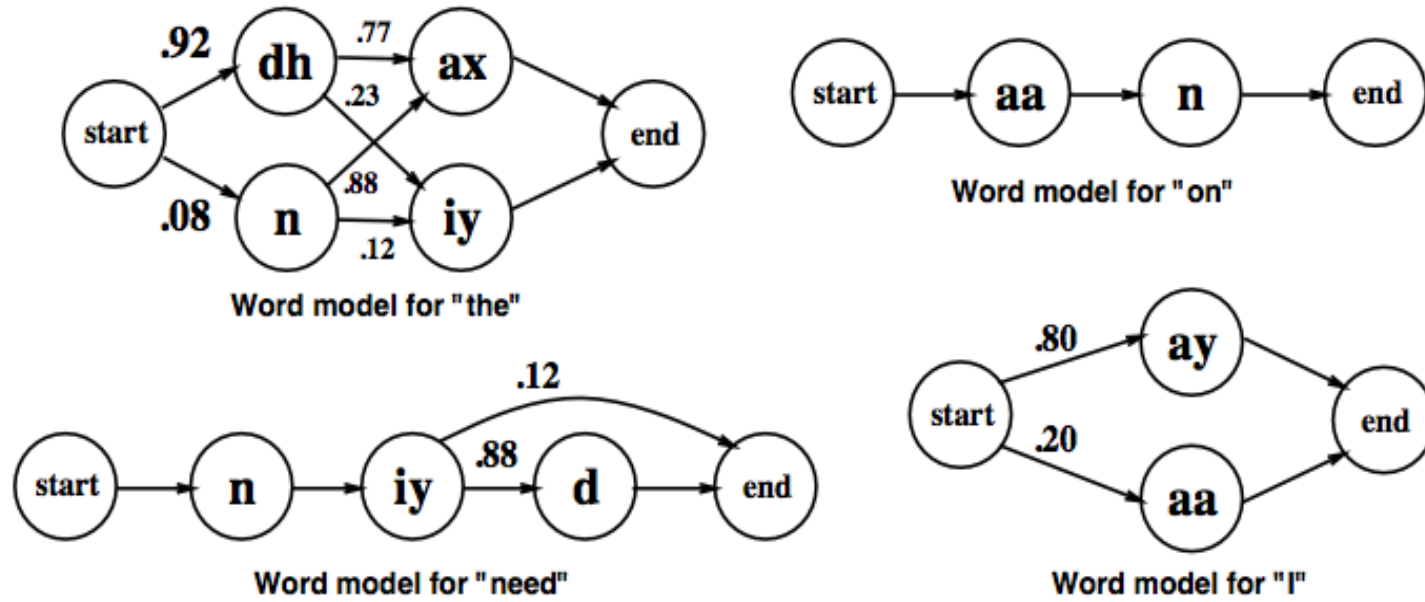


Figure: J & M



# Lexical State Structure

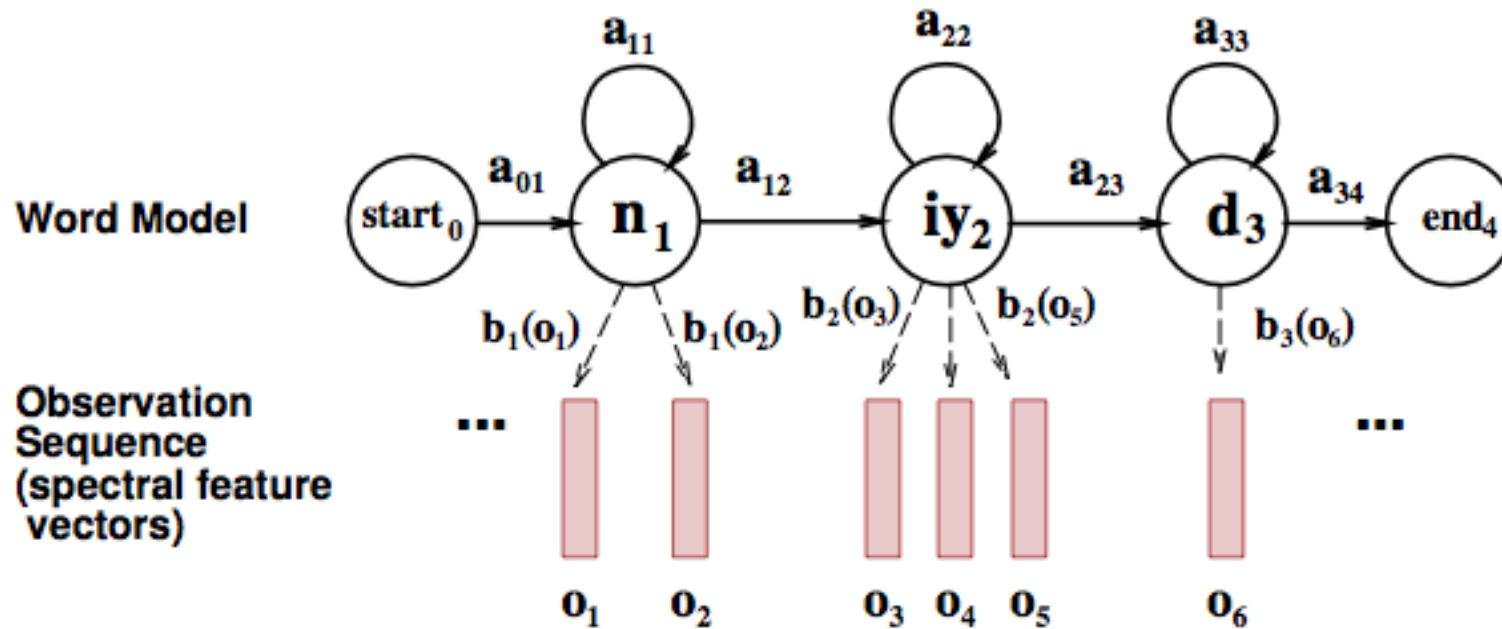


Figure: J & M



# Adding an LM

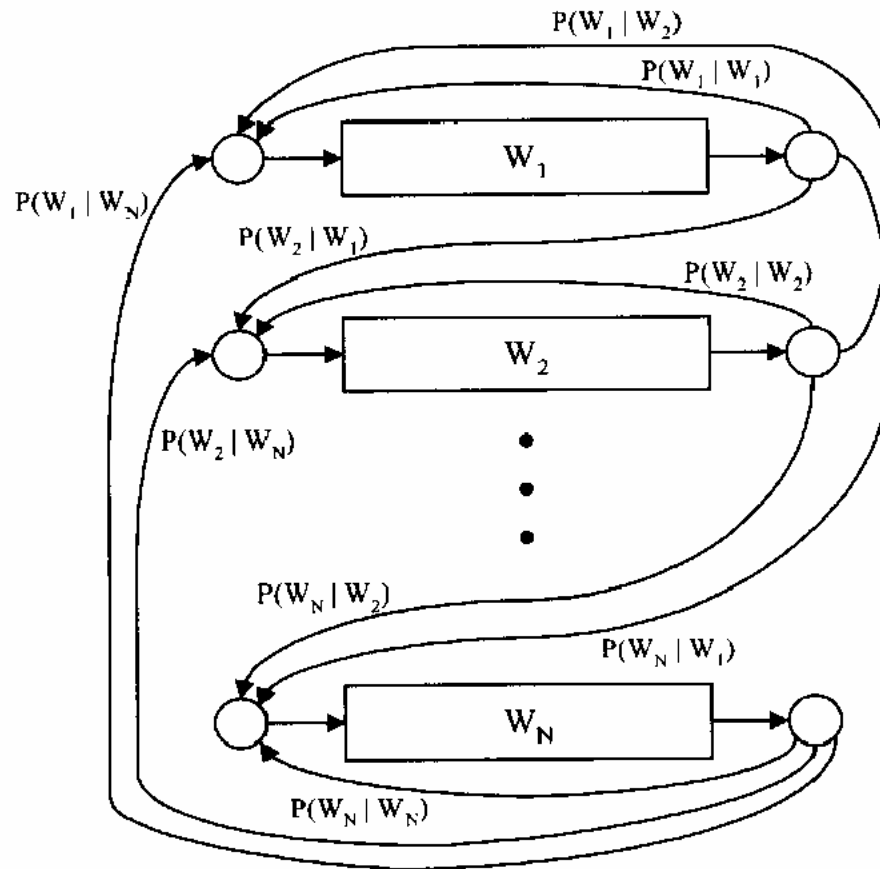


Figure from Huang et al page 618





# State Space

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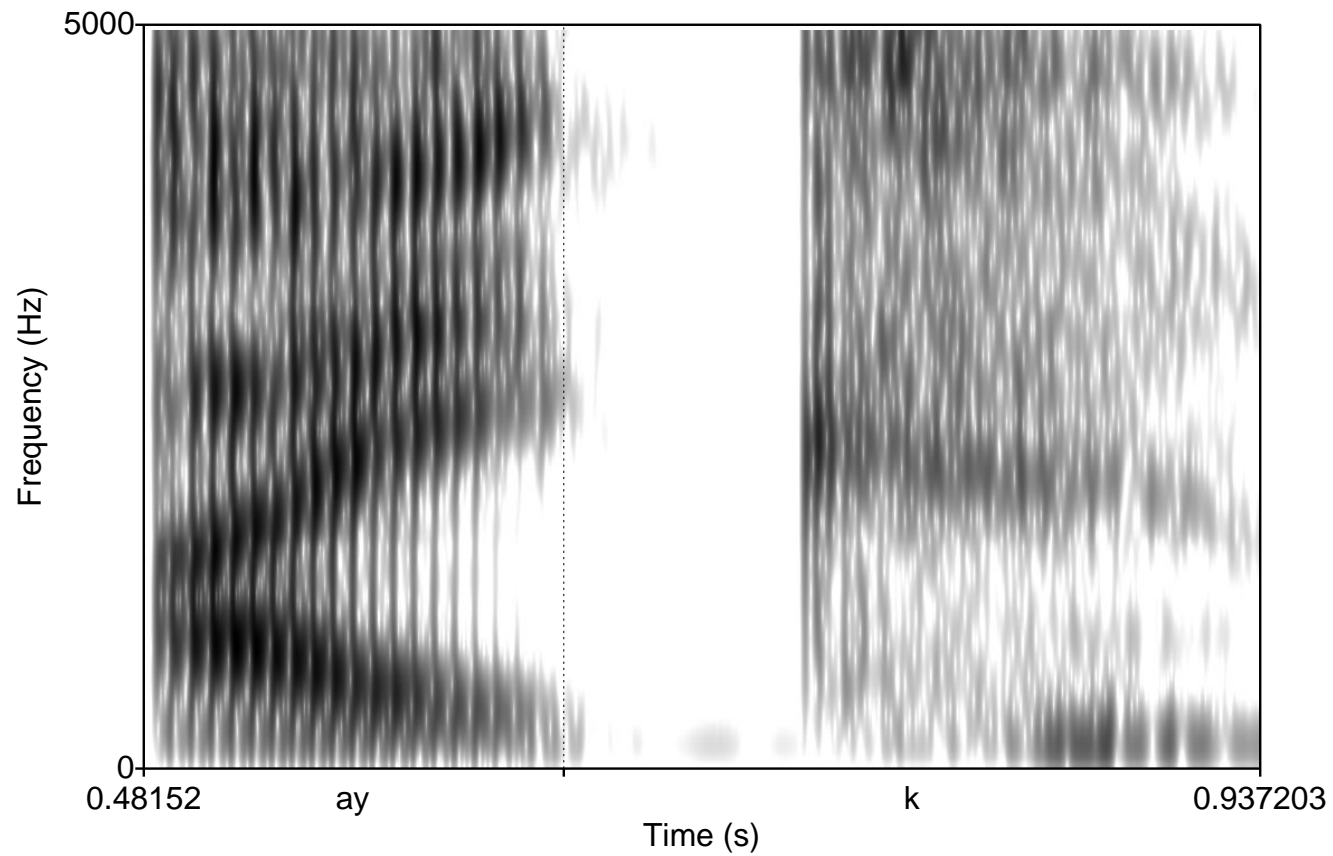
- State space must include
  - Current word ( $|V|$  on order of 50K+)
  - Index within current word ( $|L|$  on order of 5)
  - E.g. (lec[t]ure) (though not in orthography!)
- Acoustic probabilities only depend on (contextual) phone type
  - E.g.  $P(x|\text{lec}[t]\text{ure}) = P(x|t)$
- From a state sequence, can read a word sequence

# State Refinement

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# Phones Aren't Homogeneous





# Subphones

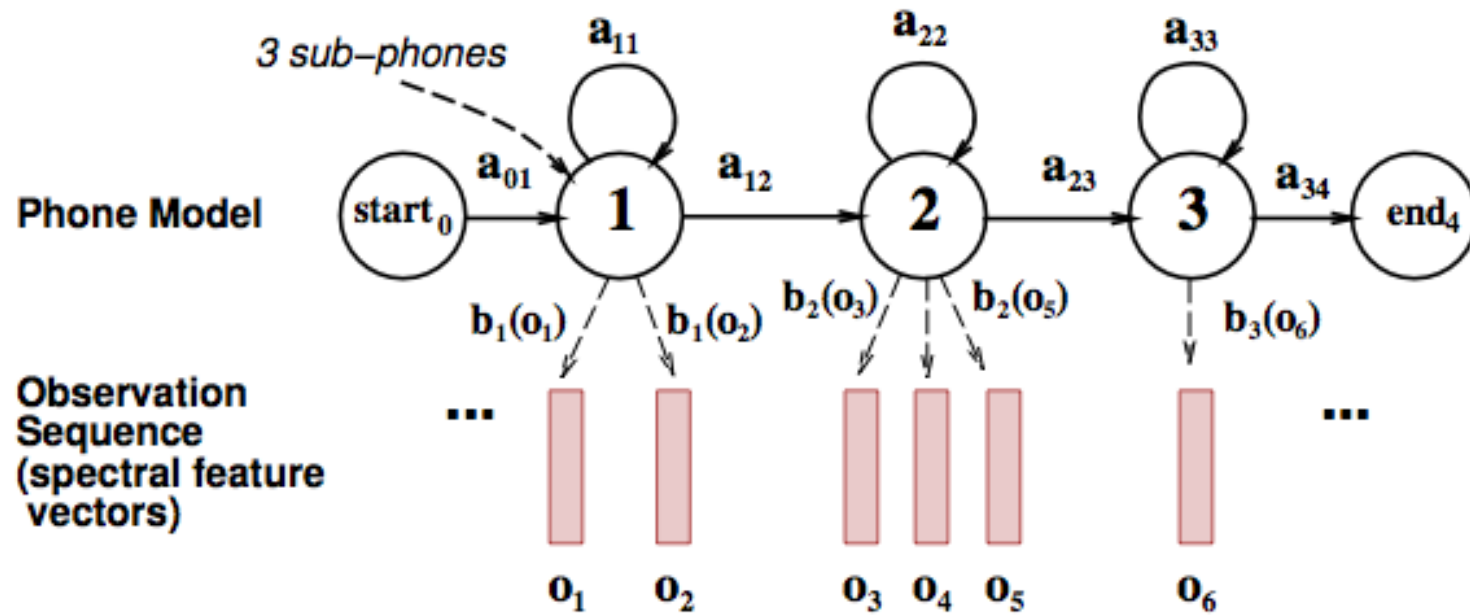


Figure: J & M





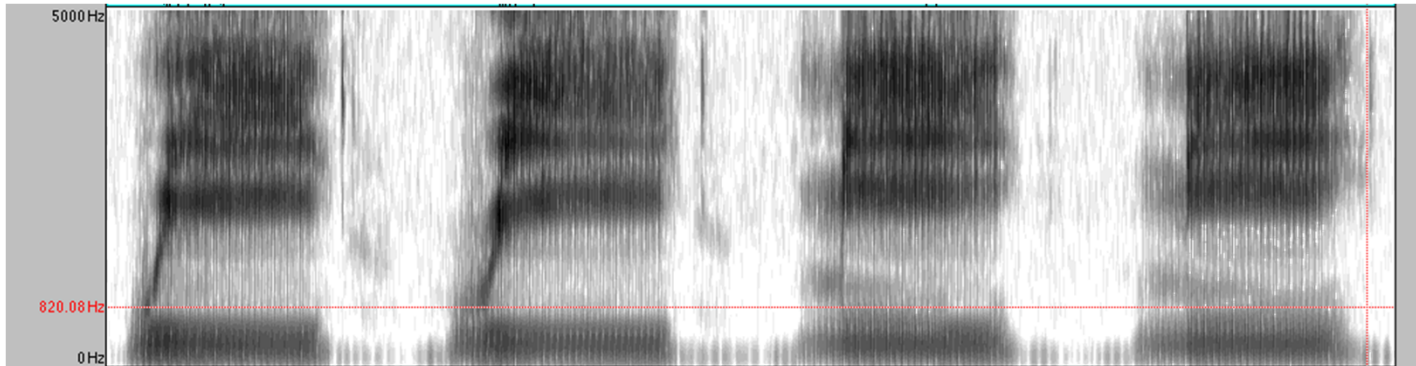
# Modeling phonetic context

w iy

r iy

m iy

n iy





# “Need” with triphone models

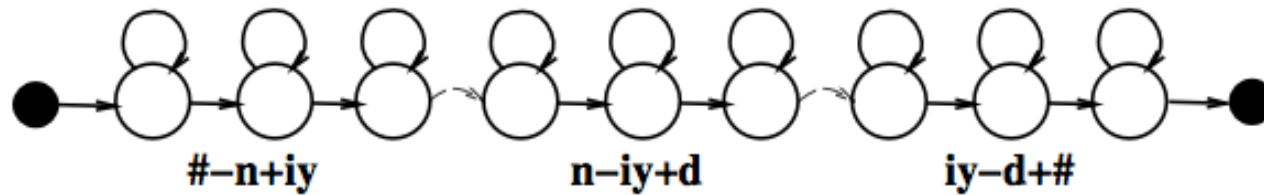


Figure: J & M



# Lots of Triphones

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- Possible triphones:  $50 \times 50 \times 50 = 125,000$
- How many triphone types actually occur?
- 20K word WSJ Task (from Bryan Pellom)
  - Word internal models: need 14,300 triphones
  - Cross word models: need 54,400 triphones
- Need to generalize models, tie triphones





# State Tying / Clustering

- [Young, Odell, Woodland 1994]
- How do we decide which triphones to cluster together?
- Use **phonetic features** (or 'broad phonetic classes')
- Stop
- Nasal
- Fricative
- Sibilant
- Vowel
- lateral

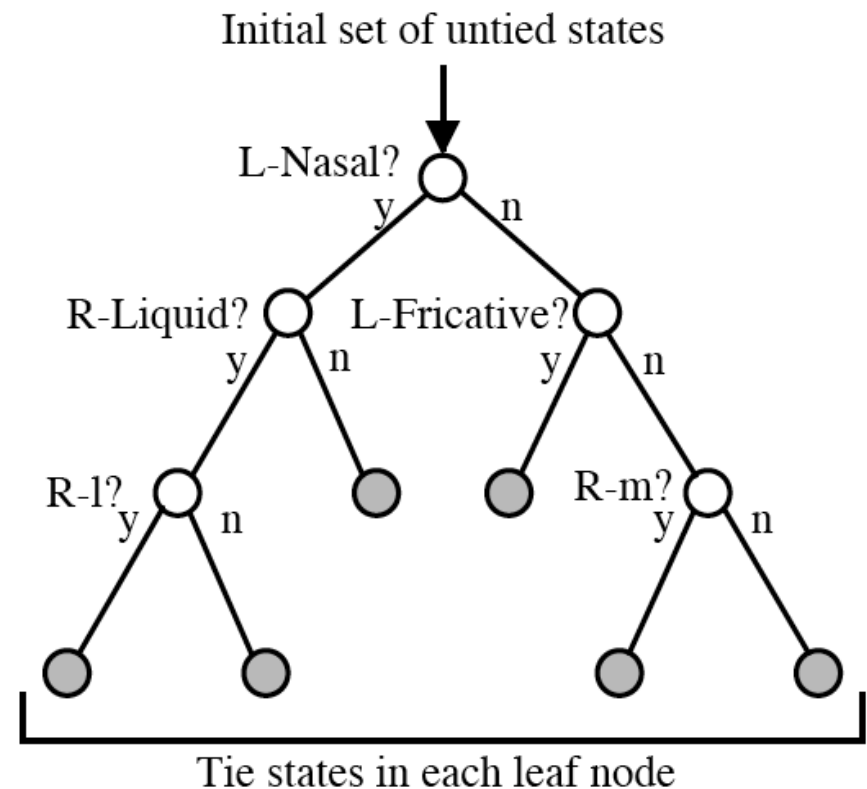


Figure: J & M



# State Space

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- Full state space

(LM context, lexicon index, subphone)

- Details:
  - LM context is the past  $n-1$  words
  - Lexicon index is a phone position within a word (or a trie of the lexicon)
  - Subphone is begin, middle, or end
  - E.g. (after the, lec[t-mid]ure)
- Acoustic model depends on clustered phone context
  - But this doesn't grow the state space

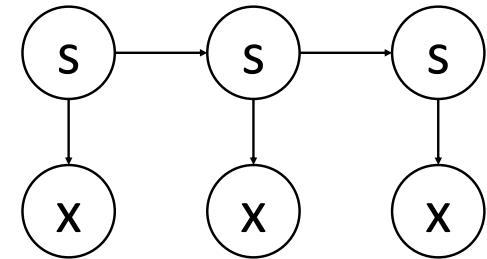
# Learning Acoustic Models

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# What Needs to be Learned?

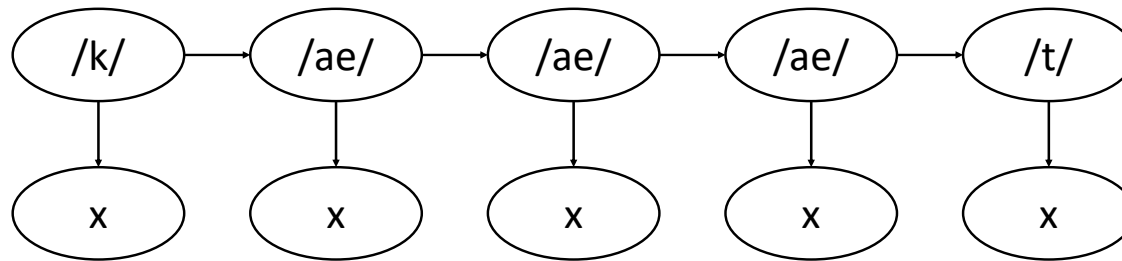
- Emissions:  $P(x \mid \text{phone class})$ 
  - $x$  is MFCC-valued
  - In neural methods, actually have  $P(\text{phone} \mid \text{window around } x)$  and then coerce those scores into  $P(x \mid \text{phone})$
- Transitions:  $P(\text{state} \mid \text{prev state})$ 
  - If between words, this is  $P(\text{word} \mid \text{history})$
  - If inside words, this is  $P(\text{advance} \mid \text{phone class})$
  - (Really a hierarchical model)





# Estimation from Aligned Data

- What if each time step were labeled with its (context-dependent sub) phone?



- Can estimate  $P(x|/ae/)$  as empirical mean and (co-)variance of  $x$ 's with label /ae/, or mixture, etc/
- Problem: Don't know alignment at the frame and phone level

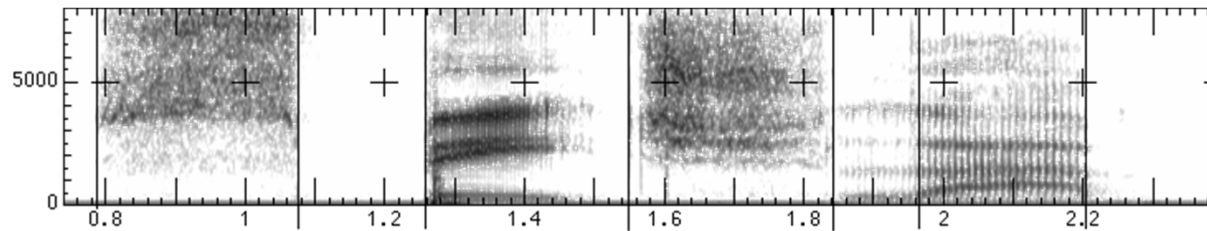


# Forced Alignment

- What if the acoustic model  $P(x|\text{phone})$  were known (or approximately known)?
  - ... and also the correct sequences of words / phones
- Can predict the best alignment of frames to phones

“speech lab”

sssssspppppeeeeeetshshshshllllaeaeaebbbb

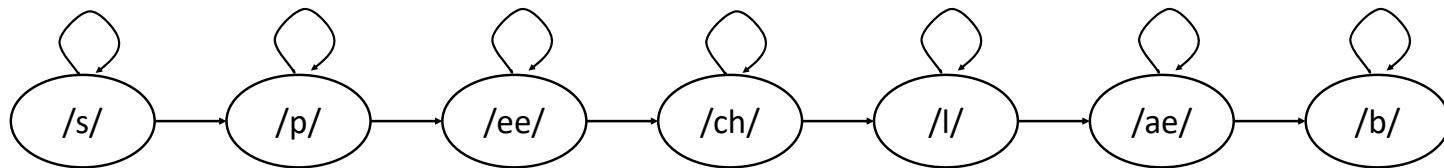


- Called “forced alignment”



# Forced Alignment

- Create a new state space that forces the hidden variables to transition through phones in the (known) order



- Still have uncertainty about durations: this key uncertainty persists in neural models (and in some ways is worse now)
- In this HMM, all the parameters are known
  - Transitions determined by known utterance
  - Emissions assumed to be known
  - Minor detail: self-loop probabilities
- Just run Viterbi (or approximations) to get the best alignment



# EM for Alignment

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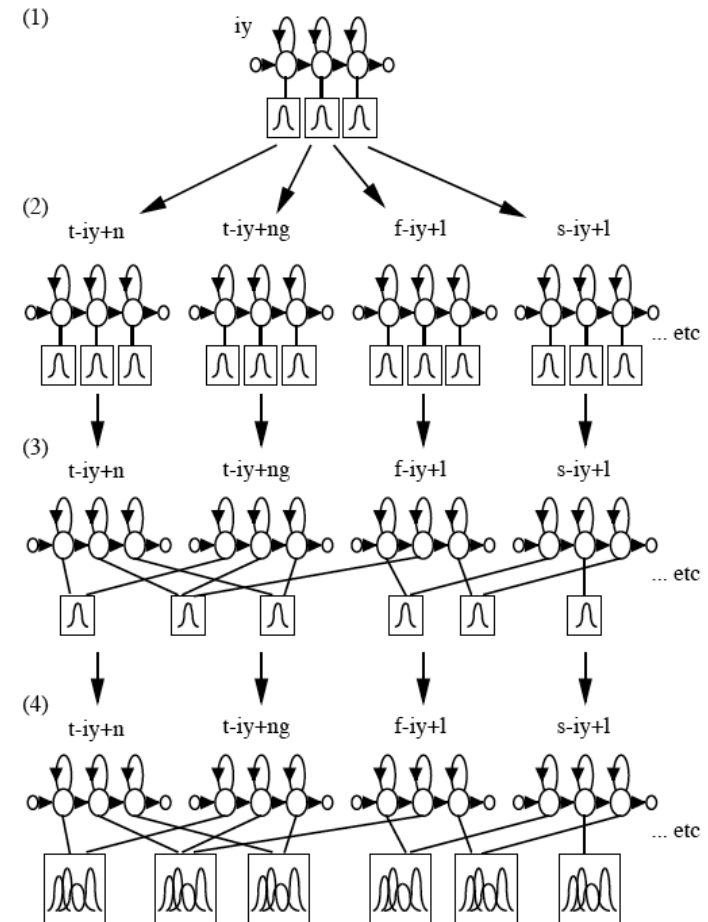
- Input: acoustic sequences with word-level transcriptions
- We don't know either the emission model or the frame alignments
- Expectation Maximization
  - Alternating optimization
  - Impute completions for unlabeled variables (here, the states at each time step)
  - Re-estimate model parameters (here, Gaussian means, variances, mixture ids)
  - Repeat
  - One of the earliest uses of EM for structured problems





# Staged Training and State Tying

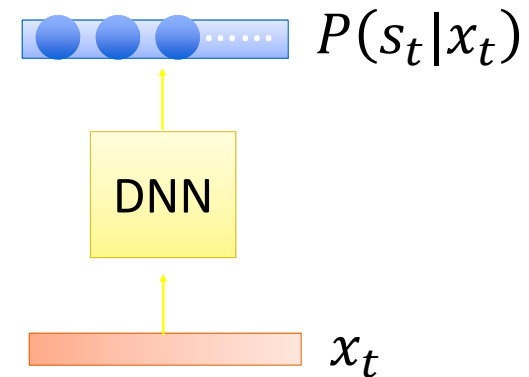
- **Creating CD phones:**
  - Start with monophone, do EM training
  - Clone Gaussians into triphones
  - Build decision tree and cluster Gaussians
  - Clone and train mixtures (GMMs)
- **General idea:**
  - Introduce complexity gradually
  - Interleave constraint with flexibility





# Neural Acoustic Models

- Given an input  $x$ , map to  $s$ ; this score coerced into generative  $P(x|s)$  via Bayes rule (liberally ignoring terms)
- One major advantage of the neural net is that you can look at many  $x$ 's at once to capture dynamics (important!)



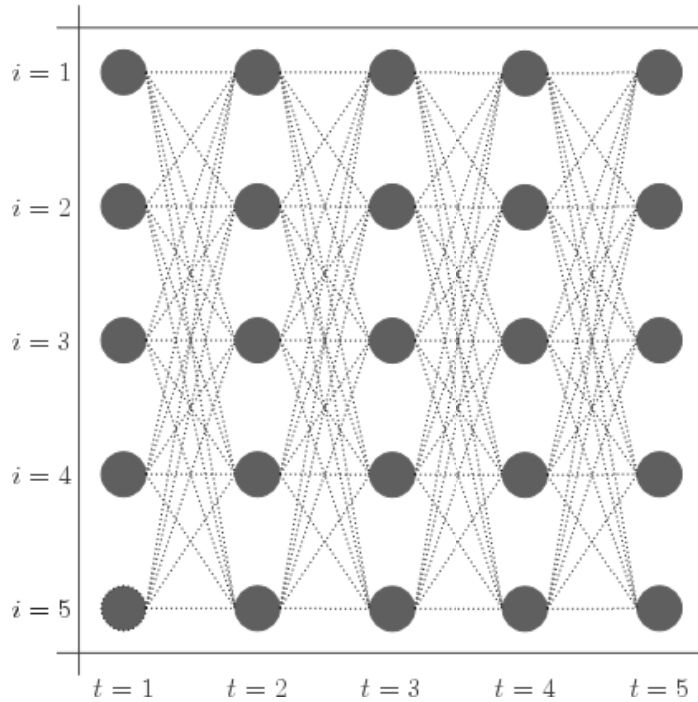
[Diagram from Hung-yi Li]

# Decoding

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# State Trellis



$$\phi_t(s_{t-1}, s_t) = P(x_t | s_t) P(s_t | s_{t-1})$$

$$\begin{aligned} P(x, s) &= \prod_i P(x_i | s_i) P(s_i | s_{i-1}) \\ &= \prod_i \phi_t(s_{i-1}, s_i) \end{aligned}$$

Figure: Enrique Benimeli



# Beam Search

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- Lattice is not regular in structure! Dynamic vs static decoding
- At each time step
  - Start: Beam (collection)  $v_t$  of hypotheses  $s$  at time  $t$
  - For each  $s$  in  $v_t$ 
    - Compute all extensions  $s'$  at time  $t+1$
    - Score  $s'$  from  $s$
    - Put  $s'$  in  $v_{t+1}$  replacing existing  $s'$  if better
  - Advance to  $t+1$
- Beams are priority queues of fixed size\*  $k$  (e.g. 30) and retain only the top  $k$  hypotheses



# Dynamic vs Static Decoding

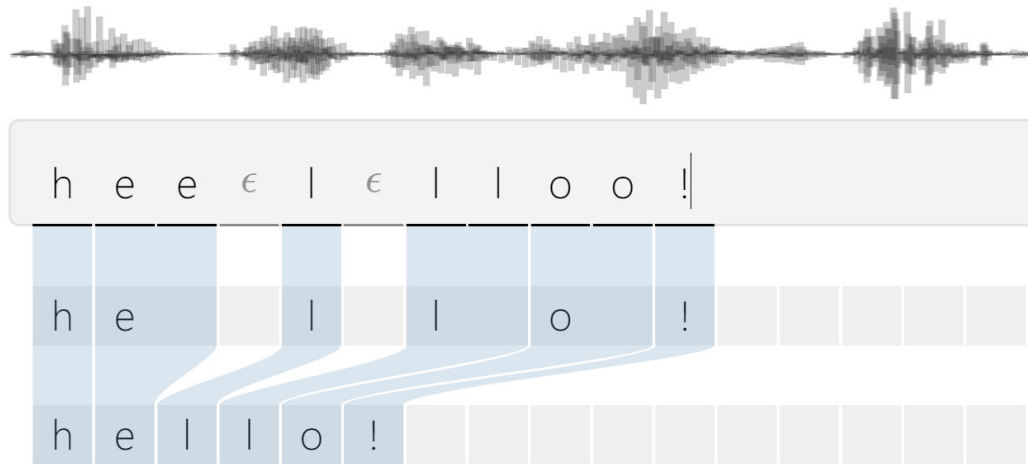
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- **Dynamic decoding**
  - Build transitions on the fly based on model / grammar / etc
  - Very flexible, allows heterogeneous contexts easily (eg complex LMs)
- **Static decoding**
  - Compile entire subphone/vocabulary/LM into a huge weighted FST and use FST optimization methods (eg pushing, merging)
  - Much more common at scale, better eng and speed properties



# Direct Neural Decoders

- Lots of work in decoders that skip explicit / discrete alignment
  - Decode to phone, or character, or word
  - Handle alignments softly (eg attention) or discretely (eg CTC)



[CTC: Graves 06; Diagram from <https://distill.pub/2017/ctc/>]